

# Iteration Theories for Periodic Orbits, Old and New

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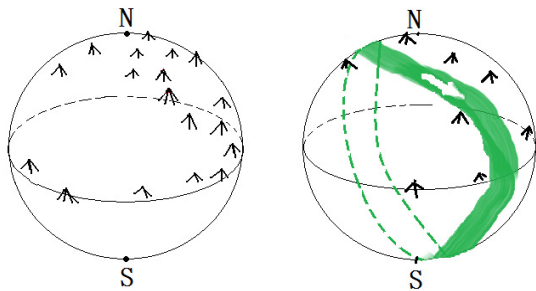


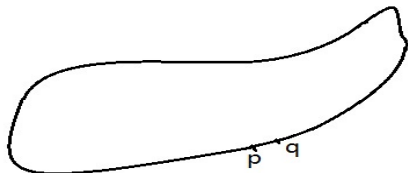
Figure: Riemannian and Finsler metrics on  $S^2$ .

A reversible (including Riemannian) or irreversible compact Finsler manifold  $(M, F)$ .

$c : \mathbf{R} \rightarrow (M, F)$  is a closed geodesic, if

(i) it is a closed curve, and

(ii) it is **locally** the shortest curve connecting any 2 nearby points.



4.18, 2019, "google scholar" found 131000 papers/books related to "closed geodesics" in 0.05 seconds.

## Existence of at least one closed geodesic on $(M, F)$ :

1898, J.Hadamard, 1905 H.Poincaré.

1917-1927, G.D.Birkhoff:  $\#CG(S^d, g) \geq 1, \forall$  Riemannian  $g$  on  $S^d$ .

1951, L.Lyusternik-A.Fet:  $\#CG(M, g) \geq 1, \forall$  Riemannian  $g$  on any compact  $M$ .

Proved by **variational method** (specially, **minimax method**)  $\implies$   
 $\#CG(M, F) \geq 1, \forall$  Finsler metric  $F$  on a compact manifold  $M$ .

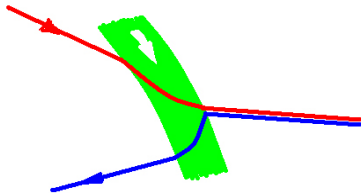
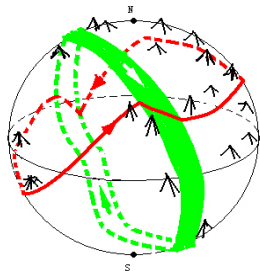
cf. V. Bangert, (1985, JDMV);

J. Jost, (2002, "Riem. Geom. and Geom. Anal.")

**Variational methods** (letting  $S^1 = \mathbf{R}/\mathbf{Z}$ ),

$$E(\gamma) = \int_0^1 F(\gamma(t), \dot{\gamma}(t))^2 dt, \quad \text{for } \gamma \in \Lambda M = W^{1,2}(S^1, M).$$

Then  $E'(c) = 0$  and  $E(c) > 0 \iff c$  is a CG on  $(M, F)$ .



Closed geodesics—Global questions on their  
Existence, Multiplicity, Stability.

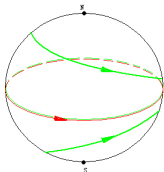
Multiplicity ? Stability ?

Multiplicity question: Estimate  $\#CG(M, F)$  or  $\#CG(M, g)$  ?

A long standing conjecture:

$\#CG(M, g) = +\infty, \forall$  Riemann.  $g$  on compact  $M$  with  $\dim M \geq 2$ ?

Yes, when  $\dim M = 2$ , by V. Bangert (1993) and J. Franks (1990).

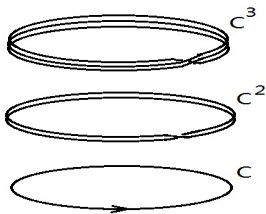


A. Katok metrics (1973):

$$\#CG(S^d, F_K) = 2\left[\frac{d+1}{2}\right] \text{ with } d \geq 2.$$

A. Katok – D. Anosov conjecture (1973-74):

$$\#CG(S^d, F) \geq 2\left[\frac{d+1}{2}\right] \quad \forall \text{ Finsler } F \text{ on } S^d \text{ with } d \geq 2.$$



## The major difficulty for multiplicity!

Let  $c : S^1 = \mathbf{R}/\mathbf{Z} \rightarrow (M, F)$  be a closed curve.

$$c^m(t) \equiv \psi^m(c)(t) \equiv c(mt), \quad \forall t \in \mathbf{R}, m \in \mathbf{N}.$$

$$E'(c) = 0 \text{ and } E'(c^m) = 0.$$

$$\kappa_m \equiv E(c^m) = m^2 E(c) \rightarrow +\infty, \quad \text{as } m \rightarrow +\infty,$$

**But**  $c$  and  $c^m$  give the same geometric orbit on  $(M, F)$ !

## A brief review on $\omega$ -index theory of symplectic matrix paths

Consider the Hamiltonian system:

$$\begin{cases} \dot{x}(t) = JH'(t, x(t)), & \forall t \in \mathbf{R}, \\ x(\tau) = x(0), \end{cases} \quad (\text{HS})$$

where  $J = \begin{pmatrix} 0 & -I_n \\ I_n & 0 \end{pmatrix}$ ,  $H \in C^2(\mathbf{R}/(\tau\mathbf{Z}) \times \mathbf{R}^{2n}, \mathbf{R})$ ,  
 $x : \mathbf{R}/(\tau\mathbf{Z}) \rightarrow \mathbf{R}^{2n}$ .

Consider the linearized Hamiltonian system at  $x$ :

$$\begin{cases} \dot{y}(t) = JH''(t, x(t))y(t) & \forall t \in \mathbf{R}, \\ y(\tau) = y(0). \end{cases} \quad (\text{LHS})$$

Its fundamental solution  $\gamma(t) = \gamma_x(t)$  is defined by

$$\begin{cases} \dot{\gamma}(t) = JH''(t, x(t))\gamma(t) & \forall t \geq 0, \\ \gamma(0) = I. \end{cases} \quad (\text{LHS})$$



Then  $\gamma$  is a path in  $\mathrm{Sp}(2n) = \{M \in \mathrm{GL}(\mathbf{R}^{2n}) \mid M^t J M = J\}$  with  $\gamma(0) = I$ .

(LHS) has a solution  $y \neq 0$   $\iff 1 \in \sigma(\gamma(\tau))$   
 $\iff \det(\gamma(\tau) - I) = 0$ .

Thus we consider the following degenerate hypersurface in  $\mathrm{Sp}(2n)$ :

$$\mathrm{Sp}(2n)_1^0 = \{M \in \mathrm{Sp}(2n) \mid \det(M - I) = 0\}.$$

For  $\omega \in \mathbf{U} = \{z \in \mathbf{C} \mid |z| = 1\}$ , we let

$$D_\omega(M) = (-1)^{n-1} \omega^{-n} \det(M - \omega I),$$

and define the degenerate hypersurface for  $\omega$  by

$$\mathrm{Sp}(2n)_\omega^0 = \{M \in \mathrm{Sp}(2n) \mid D_\omega(M) = 0\}.$$

An intuitive model (Long, *Science in China*, 1990)

For each  $M \in \mathrm{Sp}(2)$ , we have:

$$M = \begin{pmatrix} r & z \\ z & \frac{1+z^2}{r} \end{pmatrix} \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix} \leftrightarrow (r, \theta, z) \in \mathbf{R}^3 \setminus \{z\text{-axis}\}.$$

Matrices in  $\mathrm{Sp}(2)$  are one-to-one correspondent to points in  $\mathbf{R}^3 \setminus \{z\text{-axis}\}$  in cylindrical coordinates.

$$\det(M - I) = 0 \iff (r^2 + z^2 + 1) \cos \theta = 2r.$$

$$\begin{aligned} \mathrm{Sp}(2)_1^0 &= \{M \in \mathrm{Sp}(2) \mid 1 \in \sigma(M)\} \\ &= \{(r, \theta, z) \in \mathbf{R}^3 \setminus \{z\text{-axis}\} \mid (r^2 + z^2 + 1) \cos \theta = 2r\}. \end{aligned}$$

$\mathrm{Sp}(2)_1^0$  forms a singular surface in  $\mathrm{Sp}(2)$  as shown below in the cylindrical coordinates of  $\mathbf{R}^3$ , together with the path  $\gamma$ .

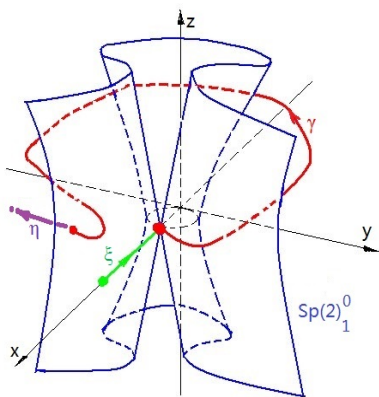


Figure: Graph of  $\gamma$  and  $Sp(2)_1^0$

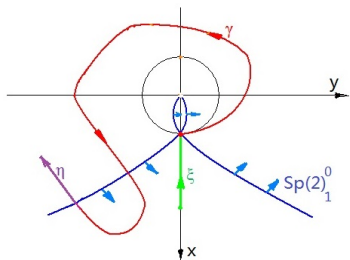


Figure: Illustrations on the graphs of  $\gamma$  and  $Sp(2)_1^0$  when  $z = 0$

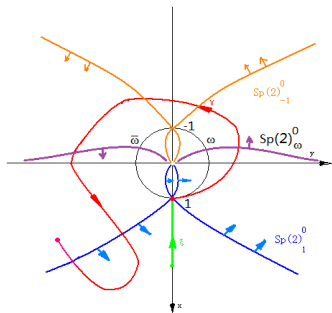


Figure: Illustrations on the graphs of  $\gamma$  and  $\text{Sp}(2)_{\omega}^0$  when  $z = 0$

Let  $\xi$  be the segment path connecting  $\begin{pmatrix} 2 & 0 \\ 0 & 1/2 \end{pmatrix}^{\diamond n}$  to  $I_{2n}$ .

Let  $\eta(t) = \gamma(\tau)e^{-t\epsilon J}$  with  $t \in [0, \tau]$  and  $\epsilon > 0$  small.

We define the orientation of  $\mathrm{Sp}(2n)_{\omega}^0$  as shown in the Figure.

**Definition** For  $\gamma \in C([0, \tau], \mathrm{Sp}(2n))$  with  $\gamma(0) = I$ , we define

$$\begin{aligned}\nu_1(\gamma) &= \dim \ker(\gamma(\tau) - I), \\ i_1(\gamma) &= [\eta * \gamma * \xi : \mathrm{Sp}(2n)_1^0].\end{aligned}$$

Similarly, for every  $\omega \in \mathbf{U}$  we define

$$\begin{aligned}\nu_{\omega}(\gamma) &= \dim_{\mathbf{C}} \ker_{\mathbf{C}}(\gamma(\tau) - \omega I), \\ i_{\omega}(\gamma) &= [\eta * \gamma * \xi : \mathrm{Sp}(2n)_{\omega}^0].\end{aligned}$$

Then  $(i_{\omega}(\gamma), \nu_{\omega}(\gamma)) \in \mathbf{Z} \times \{0, 1, \dots, 2n\}$ ,  $\forall \omega \in \mathbf{U}$ .

## $\omega$ -index theory for symplectic paths in $\mathrm{Sp}(2n)$

1960, V. P. Maslov; 1964, V. I. Arnold: 1-index theory for loops of Lagrangian subspaces.

1984, C. Conley-E. Zehnder, non-degenerate paths in  $\mathrm{Sp}(2n)$  with  $n \geq 2$  and  $\omega = 1$ ,

1990, Y. Long-E. Zehnder, non-degenerate paths in  $\mathrm{Sp}(2)$  with  $\omega = 1$ ,

1990, Y. Long, C. Viterbo, degenerate Hamiltonian systems with  $\omega = 1$ ,

1997, Y. Long, degenerate symplectic paths in  $\mathrm{Sp}(2n)$  with  $\omega = 1$ ,

1999, Y. Long,  $\omega$ -index theory for symplectic paths in  $\mathrm{Sp}(2n)$  for every  $\omega \in \mathbf{U}$ .

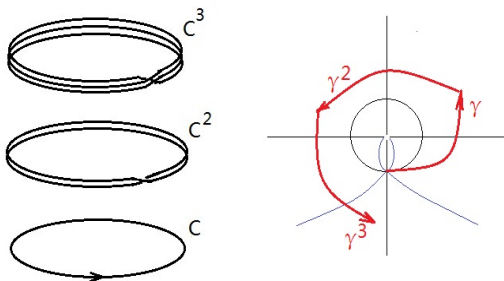


Figure: Illustrations on the iterates of a CG  $c$  and a symplectic path  $\gamma$

For  $m \in \mathbf{N}$ , the definitions of the  $m$ -th iterates

$$c^m(t) = c(mt) \quad \forall t \in \mathbf{R},$$

$$\gamma^m(t) = \gamma(t - j\tau)\gamma(\tau)^j \quad \forall j\tau \leq t \leq (j+1)\tau, \quad 0 \leq j \leq m-1.$$

$$(i_1(\gamma^m), \nu_1(\gamma^m)) \in \mathbf{Z} \times [0, 2n], \quad (i(c^m), \nu(c^m)) \in \mathbf{Z} \times [0, 2n].$$



## R. Bott's index iteration theory (1956)

For a given CG  $c$  on a Finsler manifold  $(M, F)$ , let  $(i(c^m), \nu(c^m))$  the Morse index and nullity of the energy functional  $E$  at  $c^m$  with  $m \in \mathbf{N}$ .

**Theorem.** R. Bott (1956), For every  $m \in \mathbf{N}$ ,

$$i(c^m) = i_1(c^m) = \sum_{\omega^m=1} \Lambda_\omega(c), \quad \nu(c^m) = \nu_1(c^m) = \sum_{\omega^m=1} N_\omega(c),$$

where  $\Lambda_\omega(c)$  and  $N_\omega(c)$  are the  $\omega$ -Bott-Morse index and nullity of  $E$  at  $c$  in the space  $\{x \in W^{1,2}(S^1, M) \mid x(1) = \omega x(0)\}$ .

## Application of R. Bott's index iteration formula

Bott's formula yields:  $\hat{i}(c) \equiv \lim_{m \rightarrow \infty} \frac{i(c^m)}{m} > 0 \implies$   
there exist  $\epsilon > 0$  and  $a > 0$  such that

$$i(c^{m+k}) - i(c^m) \geq \epsilon k - a, \quad \forall m, k \in \mathbf{N}.$$

**Theorem.** D. Gromoll - W. Meyer, (1969)

That  $\{b_k(\Lambda M)\}_{k \in \mathbf{N}}$  is **unbounded**  $\implies \#CG(M, F) = +\infty$ .

**Theorem.** M. Vigué-Poirrier and D. Sullivan (1976):  $(M, g)$  is a cpt. simply conn. Riem. mfd. Then

$\{b_j(\Lambda M)\}_{j \in \mathbf{N}}$  is **bounded**  $\iff H^*(M; \mathbf{Q})$  has only one generator.

$\iff H^*(M; \mathbf{Q}) \cong T_{d, n+1}(x) = \mathbf{Q}[x]/(x^{n+1} = 0)$   
with a generator  $x$  of degree  $d \geq 2$  and hight  $n + 1 \geq 2$ .

## Further index iteration theories

I. Ekeland's index theory. (1984) for periodic solutions  $x$  of convex Hamiltonian systems on  $\mathbf{R}^{2n}$ .

$$i_1^E(x^m) = \sum_{\omega^m=1} i_\omega^E(x), \quad \nu_1^E(c^m) = \sum_{\omega^m=1} \nu_\omega^E(c), \quad \forall m \in \mathbf{N},$$

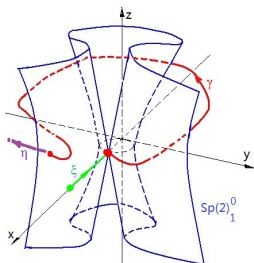
where  $i_\omega^E(x)$  and  $\nu_\omega^E(x)$  are the Ekeland index and nullity of the action functional  $I(x)$  at  $x$  in  $\{x \in W^{1,2}(S^1, \mathbf{R}^{2n}) \mid x(1) = \omega x(0)\}$ .

W. Klingenberg (1980s), C. Viterbo (non-degenerate, star-shaped, 1989), V. Brouseau (1986 ?) . . .

**Theorem.** I. Ekeland - H. Hofer (1987)

$\#\text{CC}(\Sigma) \geq 2 \quad \forall$  compact convex hypersurface  $\Sigma$  in  $\mathbf{R}^{2n}$ ,  
where  $\text{CC}(\Sigma)$  is the set of geometrically distinct periodic solutions of the corresponding Hamiltonian system on  $\Sigma$ .

## The Maslov-type index theory for symplectic matrix paths



Let  $\mathrm{Sp}(2n) = \{M \in \mathrm{GL}(2n) \mid M^T J M = J\}$  and  
 $\mathcal{P}_\tau(2n) = \{\gamma \in C([0, \tau], \mathrm{Sp}(2n) \mid \gamma(0) = I\}$  with  $\tau > 0$ .  
The Maslov-type index theory

(C. Conley-E. Zehnder 1984, Y. Long 1990)

$$(i_1(\gamma), \nu_1(\gamma)) \in \mathbf{Z} \times [0, 2n], \quad \forall \gamma \in \mathcal{P}_\tau(2n).$$

Note that  $\nu_1(\gamma) = \dim \ker(\gamma(\tau) - I)$ .

## The Maslov-type index iteration theories for symplectic matrix paths

The  $\omega$ -index theory (Long 1999)

$$(i_\omega(\gamma), \nu_\omega(\gamma)) \in \mathbf{Z} \times [0, 2n], \quad \forall \gamma \in \mathcal{P}_\tau(2n).$$

**Theorem (Bott type iteration formula).** Y. Long (1999)

$$i_1(\gamma^m) = \sum_{\omega^m=1} i_\omega(\gamma), \quad \nu_1(\gamma^m) = \sum_{\omega^m=1} \nu_\omega(\gamma), \quad \forall m \in \mathbf{N},$$

**Theorem (Precise iteration formula).** Y. Long (2000)

$$i_1(\gamma^m) = A(\gamma)m + (\text{asympt. linear terms on } m) + (\text{bounded terms}),$$

where all terms are explicitly depending on  $\gamma(\tau)$  and  $(i_1(\gamma), \nu_1(\gamma))$  only. Note that we have

$$\nu_1(\gamma^m) = \dim \ker(\gamma(\tau)^m - I), \quad \forall m \in \mathbf{N}.$$

(The basic problem of the index iteration theories)

**Index iteration inequalities** (D. Dong - Y. Long 1997,  
C. Liu - Y. Long 2000, 2001, Y. Long - C. Zhu 2002, )

**Common index jump theorem** (Y. Long - C. Zhu 2002))

**Resonance identities for Maslov-type indices**

(X. Hu - Y. Long - W. Wang 2007,  
H. Liu - Y. long - W. Wang 2014)

**Enhanced common index jump theorem**

(H. Duan - Y. Long - W. Wang 2016)

## Applications of the index iteration theory

**Theorem.** Y. Long - C. Zhu (2002)

$\#CC(\Sigma) \geq \lfloor \frac{n}{2} \rfloor + 1 \quad \forall$  compact convex hypersurface  $\Sigma$  in  $\mathbf{R}^{2n}$ .

**Theorem.** V. Bangert - Y. Long (2010)

$\#CG(S^2, F) \geq 2 \quad \forall$  Finsler metric  $F$  on  $S^2$ ,  
i.e., Katok-Anosov conjecture holds for  $S^2$ .

**Theorem.** H. Duan - Y. Long - W. Wang (2016)

Let  $(M, F)$  be a compact simply connected Finsler manifold with  
 $\dim M \geq 2$ , and

$H^*(M; \mathbf{Q}) \cong T_{d,n+1}(x) = \mathbf{Q}[x]/(x^{n+1} = 0)$  with a generator  $x$  of  
degree  $d \geq 2$  and hight  $n+1 \geq 2$

Suppose  $F$  is bumpy and  $K \geq 0$ .

$\implies \#CG(M, F) \geq \frac{dn(n+1)}{2}$ , if  $d$  is even,  
 $\#CG(M, F) \geq d+1$ , if  $d$  is odd (then  $n=1$  and  $M \simeq S^d$ ).

Specially,  $\#CG(S^d, F) \geq 2\lfloor \frac{d+1}{2} \rfloor \quad \forall d \geq 2$ .

## New goal, Multiplicity in higher dim. case

Let  $(M, F)$  be a compact simply connected Finsler manifold with  $\dim M \geq 2$ , satisfying

$$H^*(M; \mathbf{Q}) \cong T_{d,n+1}(x) = \mathbf{Q}[x]/(x^{n+1} = 0)$$

with a generator  $x$  of degree  $d \geq 2$  and high  $n + 1 \geq 2$

To prove  $\#CG(M, F) \geq 2$ , suppose that there exists only one prime CG  $c$  on  $(M, F)$ . Try to find a contradiction !

Example:  $(\mathbf{S}^d, F)$  with  $d \geq 3$ ;

$\mathbf{CP}^n$  ( $d = 2$ ) and  $\mathbf{HP}^n$  ( $d = 4$ ) with  $n \geq 1$ ;

$\mathbf{CaP}^2$  ( $d = 8$  and  $n = 2$ ).



## Multiplicity in higher dim. cases

(i) For any large integer  $m_0 > 0$ , there exists a large enough integer  $\tau \in 4n(m_0!)\mathbf{N}$  such that for every  $k \in [1, m_0] \cap \mathbf{N}$  we have

$$i(c^{\tau+k}) = i(c^\tau) + p(c) + i(c^k) = \bar{p} + i(c^k), \quad \nu(c^{\tau+k}) = \nu(c^k).$$

(ii) When  $\#CG(M, F) = 1$ , the following distribution diagram of  $\text{rank } \bar{C}_j(E, c^m)$  holds for any  $j \geq 0$  and  $m \geq 1$ .

...											...	...		
$\kappa_{\tau+m_0}$								...	*	*		...		
...								...	...	...		...		
$\kappa_{\tau+1}$								*	...	*	*	...		
$\kappa_\tau$						$d_0$	...	$d_{p(c)}$	...	$d_\mu$	$d_{\mu+1}$	0	...	
$\kappa_{\tau-1}$					...	...	...	...	...	*	0	0	...	
...					...	...	...	...	...	...	.	.	...	
$\kappa_{m_0}$		...	*	*	...	...	...	...	...	*	.	.	...	
...	...	...	...	...	...	...	...	...	...	...	.	.	...	
$\kappa_1$	*	...	*	*	...	...	...	...	...	*	0	0	...	
$\kappa_m$	$C_0$	...	$C_{dh-3}$	$C_{dh-2}$	...	$C_{\sigma-1}$	$C_\sigma$	...	$C_{\sigma+p(c)}$	...	$C_{\sigma+\mu}$	$C_{\sigma+\mu+1}$	$C_{\sigma+\mu+2}$	...

where  $\dim M = dh$ ,  $\kappa_m = E(c^m)$ ,  $\sigma = i(c^\tau)$ ,  $\mu = p(c) + dh - 3$ ,  $p(c)$  is an integer determined by the linearized Poincaré map  $P_c$  of  $c$ .

## Further developments

We need

...												...	...	
$\kappa_{\tau+m_0}$								...	*	*		...	...	
...								...	...	...	...	...	...	
$\kappa_{\tau+1}$								*	...	*	*	...	...	
$\kappa_{\tau}$							$d_0$	...	$d_{p(c)}$	...	$d_{\mu}$	$d_{\mu+1}$	0	...
$\kappa_{\tau-1}$					...	...	...	...	...	...	*	0	0	...
...					...	...	...	...	...	...	...	.	.	...
$\kappa_{m_0}$		...	*	*	...	...	...	...	...	...	*	.	.	...
...	...	...	...	...	...	...	...	...	...	...	...	.	.	...
$\kappa_1$	*	...	*	*	...	...	...	...	...	...	*	0	0	...
$\kappa_m$	$C_0$	...	$C_{dh-3}$	$C_{dh-2}$	...	$C_{\sigma-1}$	$C_{\sigma}$	...	$C_{\sigma+p(c)}$	...	$C_{\sigma+\mu}$	$C_{\sigma+\mu+1}$	$C_{\sigma+\mu+2}$	...

**Claim:** There exist isomorphisms on homological modules (Global homological iteration theory)

$$f_{h*}^{b,a} : H_h(\bar{\Lambda}^{\kappa_b}, \bar{\Lambda}^{\kappa_a}) \xrightarrow{\cong} H_{h+\bar{p}}(\bar{\Lambda}^{\kappa_{\tau+b}}, \bar{\Lambda}^{\kappa_{\tau+a}}) \quad \text{for } 0 \leq a < b \leq m_0.$$

where  $\bar{p} = i(c^{\tau}) + p(c) \in 2\mathbf{N}$ ,  $\Lambda = W^{1,2}(S^1, M)$ ,  
 $\Lambda^{\kappa} = \{x \in \Lambda \mid E(x) \leq \kappa\}$ ,  $\bar{\Lambda}^{\kappa} = \Lambda^{\kappa}/S^1$ .

## Difficulties:

(i) **The local homological iteration lemma.** Suppose  $k|\tau$ . Then

$$i(c^{\tau+k}) = i(c^\tau) + p(c) + i(c^k) = \bar{p} + i(c^k), \quad \nu(c^{\tau+k}) = \nu(c^k).$$

Let  $m_k = (\tau + k)/k$ . The map  $\psi^{m_k} : B_\rho(c^k, \Lambda) \rightarrow B_{\rho'}(c^{\tau+k}, \Lambda)$  is well-defined, injective, and induces an isomorphism

$$\psi_*^{m_k} : \bar{C}_*(E, c^k) \rightarrow \bar{C}_{*+p}(E, c^{\tau+k}),$$

where  $\bar{C}_*(E, c) = H_*((\Lambda(c) \cup S^1 \cdot c)/S^1, \Lambda(c)/S^1)$ ,  
 $\Lambda(c) = \{x \in \Lambda \mid E(x) < E(c)\}$ .

(ii) **The natural iteration map  $\psi^m$  does not work globally !!**

When  $(k+1)|\tau$  also holds, because

$$m_k = \frac{\tau}{k} + 1 \neq \frac{\tau}{k+1} + 1 = \frac{\tau + k + 1}{k+1} = m_{k+1},$$

the usual iteration map  $\psi^{m_k}$  is not well-defined near  $c^{k+1}$  !!

$$\psi^{m_k} : B_\rho(c^{k+1}, \Lambda) \not\rightarrow B_{\rho'}(c^{\tau+k+1}, \Lambda).$$

(iii) **All the iteration theories so far are at the index level, and no attempts are known at the global homological level yet !!!**

## Further development

### The global homological iteration theorem (H. Duan-Y. Long, GHIT).

Let  $(M, F)$  be a compact simply connected Finsler manifold with  $\dim M \geq 2$  possessing only one prime closed geodesic  $c$  and  $n = n(c)$  be the analytical period of  $c$ . Let  $m_0 = m_0(c) > 0$  be a suitably chosen large enough integer.

Then for any integer pair  $a < b$  satisfying  $0 \leq a < b \leq m_0$  and integer  $0 \leq h \leq \dim M - 2$ , there exists infinitely many integer  $\tau \in 4n(m_0!)\mathbf{N}$  such that there exists an isomorphism on homological modules:

$$f_{h*}^{b,a} : H_h(\bar{\Lambda}^{\kappa_b}, \bar{\Lambda}^{\kappa_a}) \xrightarrow{\cong} H_{h+\bar{p}}(\bar{\Lambda}^{\kappa_{\tau+b}}, \bar{\Lambda}^{\kappa_{\tau+a}}),$$

where  $\bar{p} = i(c^\tau) + p(c) \in 2\mathbf{N}$ ,  $\Lambda = W^{1,2}(S^1, M)$ ,  
 $\Lambda^\kappa = \{x \in \Lambda \mid E(x) \leq \kappa\}$ ,  $\bar{\Lambda}^\kappa = \Lambda^\kappa / S^1$ .

## Further development

The existence of the isomorphisms on homological modules:

$$f_{h*}^{b,a} : H_h(\bar{\Lambda}^{\kappa_b}, \bar{\Lambda}^{\kappa_a}) \xrightarrow{\cong} H_{h+\bar{p}}(\bar{\Lambda}^{\kappa_{\tau+b}}, \bar{\Lambda}^{\kappa_{\tau+a}}), \quad \forall 0 \leq a < b \leq m_0,$$

$\Rightarrow \exists \kappa > 0$  such that for  $\sigma = i(c^\tau)$  and Betti numbers  $b_j(\Lambda M)$ ,

$$\begin{aligned} & B(d, h)(i(c^\tau) + p(c)) + (-1)^{i(c^\tau) + \mu} \kappa \\ &= \sum_{j=0}^{\sigma+\mu} (-1)^j b_j(\Lambda M) - \sum_{j=0}^{\sigma+\mu} (-1)^j x_j \quad (\text{vertical, horizontal}) \\ &= \sum_{j=0}^{\sigma+\mu} (-1)^j b_j(\Lambda M) - \sum_{j=0}^{\sigma+\mu} (-1)^j b_{j-\sigma-p(c)}(\Lambda M) \quad (\text{by GHIT}) \\ &= \sum_{j=\mu-p(c)+1}^{\sigma+\mu} (-1)^j b_j(\Lambda M), \end{aligned}$$

where  $B(d, h) = -\frac{dh(h+1)}{2d(h+1)-4}$  for even  $d$ , or  $\frac{d+1}{2d-2}$  for odd  $d$ .

## Further development

$$B(d, h)(i(c^\tau) + p(c)) + (-1)^{i(c^\tau) + \mu} \kappa = \sum_{j=\mu-p(c)+1}^{\sigma+\mu} (-1)^j b_j(\Lambda M).$$



(below is one of the four cases, where  $d \in 2\mathbf{N}$  and  $dh > 4$ )

$$\begin{aligned} i(c^\tau) + p(c) &\leq -\frac{1}{B(d, h)} \sum_{2j-1=\mu-p(c)+2}^{i(c^\tau)+\mu} b_{2j-1}(\Lambda M) \\ &\leq i(c^\tau) + p(c) + dh - d - 2 \\ &\quad + \frac{2D}{h(h+1)d} \left( 1 - \frac{dh(h-1)}{2} + \epsilon_{d,h}(i(c^\tau) + \mu) \right), \end{aligned}$$

where setting  $D = d(h+1) - 2$ , we have

$$\begin{aligned} \epsilon_{d,h}(k) &= \left\{ \frac{D}{hd} \left\{ \frac{k - (d-1)}{D} \right\} \right\} - \left( \frac{2}{d} + \frac{d-2}{hd} \right) \left\{ \frac{k - (d-1)}{D} \right\} \\ &\quad - h \left\{ \frac{D}{2} \left\{ \frac{k - (d-1)}{D} \right\} \right\} - \left\{ \frac{D}{d} \left\{ \frac{k - (d-1)}{D} \right\} \right\}. \end{aligned}$$

## Further development

$$\Leftrightarrow \epsilon_{d,h}(i(c^T) + \mu) \geq \frac{dh - (d - 2)}{dh + (d - 2)}.$$

On the other hand, we can prove

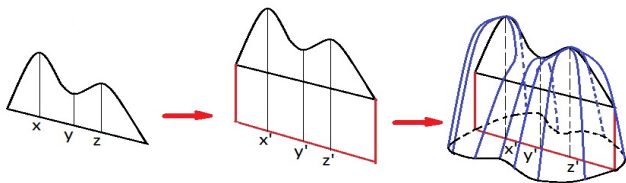
$$\epsilon_{d,h}(i(c^T) + \mu) < \frac{dh - (d - 2)}{dh + (d - 2)}.$$

This then produces a contradiction, which implies

**Theorem** (H. Duan-Y. Long). For every compact simply connected Finsler manifold  $(M, F)$  with  $\dim M \geq 2$ ,

$$\#CG(M, F) \geq 2 \quad !$$

## The idea of the proof of the GHIT



### Difficulties and ideas

- The construction of the  $\kappa_{\mathcal{T}}$ -mat.
- Proof of the existence of the canonical representation.
- Well-definedness of the homomorphism

$$f_{h_*}^{b,a} : H_h(\overline{\Lambda}^{\kappa_b}, \overline{\Lambda}^{\kappa_a}) \xrightarrow{\cong} H_{h+\bar{p}}(\overline{\Lambda}^{\kappa_{\mathcal{T}+b}}, \overline{\Lambda}^{\kappa_{\mathcal{T}+a}}), \quad \forall 0 \leq a < b \leq m_0.$$

- Proof of  $f_{h_*}^{b,a}$  to be an isomorphism.

Behavior of the boundary maps. Commutativity in the 5-lemma. etc.



## Open conjectures on closed geodesics (Duan-Long-Wang, 2016)

(I) Conjecture for reversible Finsler/Riemannian manifolds:

$\#CG(M, F) = +\infty$  for every compact  $M$  with a reversible Finsler metric  $F$  (including Riemannian metric), when  $\dim M \geq 3$ .

(II) Conjecture for irreversible Finsler manifolds:

Let  $M$  be a compact simply connected manifold whose rational cohomology has only one generator, i.e.,

$$H^*(M; \mathbf{Q}) \cong T_{d,n+1}(x) = \mathbf{Q}[x]/(x^{n+1} = 0)$$

with the generator  $x$  of degree  $d \geq 2$  and hight  $n + 1 \geq 2$ .

Then for every Finsler metric  $F$  on  $M$ , we have

$$\#CG(M, F) = \frac{dn(n+1)}{2} \quad \text{or} \quad +\infty, \quad \text{if } d \text{ is even,}$$

and

$$\#CG(M, F) = d + 1, \quad \text{if } d \text{ is odd.}$$

## Open conjectures on closed geodesics

Specially: For every Finsler metric on  $S^2$ , it is conjectured

$$\#CG(S^2, F) = 2 \quad \text{or} \quad +\infty.$$

Now  $\#CG(S^2, F) \geq 2$  is known (Bangert-Long, 2010).

## Possible considerations.

**Two main ideas** in the proof of the Theorem  $\#CG(M, F) \geq 2$  for simply conn. cpt. Finsler mfds.

(i) The topological/homological structure.

$\dots$													$\dots$	$\dots$
$\kappa_{\tau+m_0}$								$\dots$	*	*			$\dots$	$\dots$
$\dots$								$\dots$	$\dots$	$\dots$	$\dots$		$\dots$	$\dots$
$\kappa_{\tau+1}$								*	$\dots$	*	*		$\dots$	$\dots$
$\kappa_{\tau}$						$d_0$	$\dots$	$d_{p(c)}$	$\dots$	$d_{\mu}$	$d_{\mu+1}$		0	$\dots$
$\kappa_{\tau-1}$					$\dots$	$\dots$	$\dots$	$\dots$	$\dots$	*	0		0	$\dots$
$\dots$					$\dots$	$\dots$	$\dots$	$\dots$	$\dots$	$\dots$	$\dots$		$\dots$	$\dots$
$\kappa_{m_0}$		$\dots$	*	*	$\dots$	$\dots$	$\dots$	$\dots$	$\dots$	*	.		.	$\dots$
$\dots$	$\dots$	$\dots$	$\dots$	$\dots$	$\dots$	$\dots$	$\dots$	$\dots$	$\dots$	$\dots$	.		.	$\dots$
$\kappa_1$	*	$\dots$	*	*	$\dots$	$\dots$	$\dots$	$\dots$	$\dots$	*	0		0	$\dots$
$\kappa_m$	$\bar{C}_0$	$\dots$	$\bar{C}_{dh-3}$	$\bar{C}_{dh-2}$	$\dots$	$\bar{C}_{\sigma-1}$	$\bar{C}_{\sigma}$	$\dots$	$\bar{C}_{\sigma+p(c)}$	$\dots$	$\bar{C}_{\sigma+\mu}$	$\bar{C}_{\sigma+\mu+1}$	$\bar{C}_{\sigma+\mu+2}$	$\dots$

(ii) The estimates.

Thank you !