

# Hua Luogeng and André Weil

Shou-Wu Zhang



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André Weil

# Knowing Hua

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I first heard about Hua and other famous Chinese scientists from my brother when I was in elementary school. In middle school, I read some books by Hua for school kids, and also heard Chen Jinrun and other younger mathematicians from newspapers. Then I started to dream to become a **number theorist**.

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I first heard about Hua and other famous Chinese scientists from my brother when I was in elementary school. In middle school, I read some books by Hua for school kids, and also heard Chen Jinrun and other younger mathematicians from newspapers. Then I started to dream to become a **number theorist**. There were some problems with my approach to this dream. First of all I did poorly on the math college exam in 1980, and was admitted consequently to the Chemistry department of Zhongshan University against my wish. Luckily, I was allowed to transfer to the math department after one semester.

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In 1984, for the only time in my life, I saw Hua on stage in a big meeting.



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In order to read Faltings' paper, I started to study Hartshorne's "algebraic geometry", a standard textbook for graduate students. The book starts with one chapter of classical material, and continues with three chapters of the compressed version of Grothendieck's scheme theory. The most interesting part is an appendix which gives one motivation of scheme theory: the Weil conjecture.

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Moreover I had a chance to become a visiting student at Princeton for one year, during which I took lecture notes and wrote a book with Faltings, and had lunches with André Weil at IAS. But Weil didn't remember much other than mentioning Chern to me.

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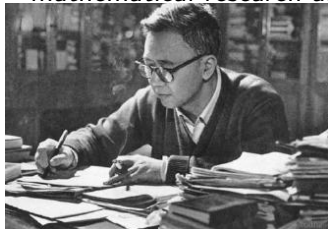


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Hua Luogeng (1910-1985)

Hua is also a public figure famous for his self-learning during his childhood, and for his dedication to the population of mathematics during the culture revolution.

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André Weil (1906–1998)

Weil also had a personal experience as a prisoner and refugee, and took jobs in every continent. He is the (spiritual) leader of Bourbaki.

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Difference:

Hua is more a **problem solver, a doer**;

Weil is more a **problem interpreter, a visionary**.

# Waring problem over integers

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## Theorem (Hilbert 1909)

*For any positive integer  $k$ , there is a positive integer  $g$  such that any positive integer  $n$  can be written as a sum of  $g$  terms of  $k$ th powers of integers:*

$$n = x_1^k + x_2^k + \cdots + x_g^k.$$



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### Problem (Waring)

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The first few numbers of  $g(k)$ : 1, 4 (Lagrange), 9, 19, 37 (Chen Jinrun), 73, 143, 279, 548, 1079, 2132, 4223, 8384, 16673, 33203, 66190, 132055 ....

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This is the same as to solve the equation over the finite field  $\mathbb{F}_p := \mathbb{Z}/p\mathbb{Z}$ . More generally, one may consider much more general equations over any  $\mathbb{F}_q$ ,

$$n = a_1 x_1^{k_1} + a_2 x_2^{k_2} + \cdots + a_g x_g^{k_g}, \quad n, a_i \in \mathbb{F}_q$$

and ask for the number  $N$  of solutions.

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For Hua, this should be a baby exercise for the much more sophisticated Waring problem and exponential sums.

For Weil, however this is the beginning of his Riemann Hypothesis over function field.

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Here  $\widehat{G} := \text{Hom}(G, \mathbb{C}^\times)$  is the dual group of  $G$ . Thus for any function  $f$  on  $G$ , there is a Fourier expansion

$$f = \sum_{\chi} \langle f, \chi \rangle \chi, \quad \langle f, \chi \rangle = \frac{1}{|G|} \sum_{g \in G} f(g) \bar{\chi}(g)$$

## A reformulation

The above equation is equivalent to the following system:

$$\begin{cases} n = y_1 + \cdots + y_g & \text{additional equation,} \\ y_i/a_i = x^{k_i} \quad (i = 1, \cdots, g) & \text{multiplicative equations.} \end{cases}$$

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and let  $\delta_n$  denote the Dirac function on  $\mathbb{F}_q$ :

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Then we have the following expression

$$N = \sum_{\substack{y_1, \dots, y_g \\ y_i \neq 0}} \delta_n(y_1 + y_2 + \cdots + y_g) \prod_i N_{k_i}(y_i/a_i).$$

## Solving $u = x^k$

Apply the Fourier analysis on the finite groups  $\mathbb{F}_q^\times$  to obtain

$$N_k(u) = \sum_{\chi \in \widehat{\mathbb{F}_q^\times}} \langle N_k, \chi \rangle \chi(u).$$

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Compute the inner product:

$$\begin{aligned} \langle N_k, \chi \rangle &= \frac{1}{q-1} \sum_u N_k(u) \chi(u) = \frac{1}{q-1} \sum_x \chi(x^k) \\ &= \frac{1}{q-1} \sum_x \chi^k(x) = \langle 1, \chi^k \rangle = \begin{cases} 1 & \chi^k = 1 \\ 0 & \text{otherwise.} \end{cases} \end{aligned}$$

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It follows that

$$N_k(u) = \sum_{\chi^k=1} \chi(u)$$

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Put two Fourier expansions together to get

$$\begin{aligned} N &= \sum_{\substack{n=y_1, \dots, y_g \\ y_i \neq 0}} \frac{1}{q} \sum_{\psi} \psi(-n) \psi(y_1 + \dots + y_g) \cdot \prod_{i=1}^g \sum_{\chi_i^{k_i}=1} \chi_i(y_i/a_i). \\ &= \frac{1}{q} \sum_{\substack{\chi_1, \dots, \chi_g, \psi \\ \chi_i^{k_i}=1}} \psi(-n) \prod_i \chi_i(a_i^{-1}) \sum_{y_i} \psi(y_i) \chi_i(y_i). \end{aligned}$$

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This completes the proof of the Theorem of Hua-Vendiver and Weil.

## Consequences by Hua-Vandiver

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If there is a reasonable cohomology theory, then we can calculate  $N_m$  using the Lefschetz fixed point theorem for the Frobenius operator  $F : X \rightarrow X$  by raising  $q$ -th power on coordinates.:

$$N_m = \#\{x \in X, F^m x = x\} = \sum_i (-1)^i \operatorname{tr}(F^m : H^i(X)).$$

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Furthermore the number  $N_m$  can be explicit bounded.

# Weil conjecture

The function  $Z(T)$  is a rational function, satisfying the functional equation

$$Z\left(\frac{1}{q^d T}\right) = \pm q^{d\chi/2} T^\chi Z(T)$$

where  $\chi$  is the Euler-Poincaré characteristic of  $X$ . Furthermore,

$$Z(T) = \frac{P_1(T)P_3(T)\cdots P_{2d-1}(T)}{P_0(T)P_2(T)\cdots P_{2d}(T)}$$

with  $P_0(T) = 1 - T$ ,  $P_{2d}(T) = 1 - q^d T$ , and

$$P_i(T) = \prod_{j=1}^{b_i} (1 - \alpha_{ij} T), \quad 1 \leq i \leq 2d - 1$$

where  $\alpha_{ij}$  are algebraic integers of absolute value  $q^{i/2}$ .

# Work of Grothendieck and Deligne

Motivated by the Weil conjecture, Grothendieck developed a new algebraic geometry with a cohomology theory. This allowed him (and Dwork) to prove the rationality and the functional equation for  $Z(T)$ .



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The bound  $|\alpha_{ij}| \leq q^{i/2}$  was eventually proved by Deligne. The proof of the Weil conjecture is considered as one of the most important achievement in mathematics in the last century.

## Future

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For each prime  $p$ , one may consider the number of solutions  $N_p$  of the above equation mod  $p$ .

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$$n = a_1 x_1^{k_1} + a_2 x_2^{k_2} + \cdots + a_g x_g^{k_g}, \quad n, a_i \in \mathbb{Z}.$$

For each prime  $p$ , one may consider the number of solutions  $N_p$  of the above equation mod  $p$ . What can we say about this collection of numbers  $N_p$ ?

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The identification of these  $L$ -functions is a major topic in arithmetic geometry and Langlands program in the 21st century.