

2023.3.17

## §7. Introduction to the Hamilton-Tian Conjecture

For the complex Monge-Ampère eqn:

$$(7.1)_t \quad (\omega + \partial\bar{\partial}\varphi)^n = e^{h_\omega - t\varphi} \omega^n, \quad \omega + \partial\bar{\partial}\varphi > 0$$

$$\int_M e^{h_\omega - t\varphi} \omega^n = \int_M \omega^n$$

The soln. of (1)<sub>t</sub> for t=1, is a KE metric on M.

And  $\text{Ric}(\omega_\varphi) \geq t\omega_\varphi$ .

[Tian 97']: Kähler-Einstein metrics with positive scalar curvature, Invent. Math.

Conj. 9.1: By taking subseq. if necessary, one should have that  $(M, \omega_t)$  converges to a space  $(M_\infty, \omega_\infty)$ , which is smooth outside a subset of real Hausdorff codimension at least 4, in the Cheeger-Gromov-Hausdorff topology. Furthermore,  $(M_\infty, \omega_\infty)$  can be expanded to be an obstruction triple  $(M_\infty, v, \mathfrak{z})$  (possibly singular) satisfying.

$\text{Ric}(\omega_\infty) - \omega_\infty = -L_v(\omega_\infty)$ , on the regular part of  $M_\infty$ , where  $L_v$  denotes the Lie derivative in the direction of  $v$ . In particular,  $(M_\infty, \omega_\infty)$  is a Ricci soliton if  $\omega_\infty$  is not Kähler-Einstein.

[Rk: Here  $\omega_t = \omega_\varphi = \omega + \partial\bar{\partial}\varphi$ ,  $\varphi$  is a soln. of (1)<sub>t</sub>.]

Similar things can be said for the Ricci flow

$$(\text{KRF}): \quad \frac{\partial \omega(t)}{\partial t} = -\text{Ric}(\omega(t)) + \omega(t), \quad \omega(0) = \omega.$$

$$\Leftrightarrow \frac{\partial \varphi}{\partial t} = \log \frac{(\omega + \partial\bar{\partial}\varphi)^n}{\omega^n} + \varphi - h_\omega, \quad \varphi|_{t=0} = 0.$$

Right now, we call the above conjecture for the Kähler-Ricci flow as Hamilton-Tian conjecture.

Some explanation.

Here by the Cheeger-Gromov-Hausdorff topology, it means that

- 1)  $(M, w_t)$  converges to  $(M_\infty, w_\infty)$  in the Gromov-Hausdorff topology;
- 2) For any  $\{x_t\} \subset M$ ,  $x_\infty \in M_\infty$  there are  $c'$  ( $c'$ ) diffeomorphisms

$\Phi_t : B_r(x_\infty) \rightarrow B_r(x_t)$  for some  $r > 0$ , s.t.  $\Phi_t^* w_t$  converges to  $w_\infty$  in the  $C^0$ -topology on  $B_r(x_\infty)$ , i.e.,

$$\lim_{t \rightarrow t_0} \|\Phi_t^* w_t - w_\infty\|_{C^0, w_\infty} = 0.$$

Definition of Gromov-Hausdorff convergence.

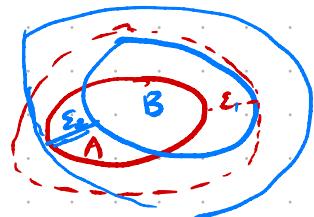
Defn (Hausdorff distance)

Assume  $(X, d)$  is a metric space, and  $A, B \subset X$ , then we define

$$d(A, B) := \inf \{d(a, b) \mid a \in A, b \in B\}$$

$$U(A, \varepsilon) := \{x \in X \mid d(x, A) < \varepsilon\}$$

$$d_H(A, B) := \inf \{\varepsilon \mid A \subset U(B, \varepsilon), B \subset U(A, \varepsilon)\}.$$



$d_H(A, B)$  is called the Hausdorff distance between  $A$  and  $B$ .

Defn (Gromov-Hausdorff distance)

Assume  $(X, d_X)$  and  $(Y, d_Y)$  are metric spaces, then an admissible metric on disjoint union  $X \sqcup Y$  is a metric  $d$  that extends the given metrics on  $X$  and  $Y$ , i.e.,  $d|_X = d_X$ ,  $d|_Y = d_Y$ . Then we define the Gromov-Hausdorff distance between  $X$  and  $Y$  as

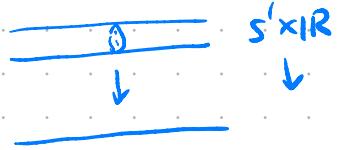


$$d_{GH}(X, Y) := \inf \{d_H(X, Y) \text{ with metric } d \mid d \text{ is an admissible metric}\}$$

$$\text{on } X \sqcup Y$$

If  $X, Y$  are cpt.  
 Prop. 7.1:  $d_{GH}(X, Y) = 0$  iff  $X$  and  $Y$  are isometric.

Let  $(M, d_{GH})$  denote the collection of compact metric spaces. It is a pseudometric space.



Defn (Gromov-Hausdorff convergence)

For a family of metric spaces  $(X_i, d_i)$ , and a metric space  $(X, d)$ , we say  $(X_i, d_i)$  converge to  $(X, d)$  in the Gromov-Hausdorff sense, if

$$\lim_{i \rightarrow +\infty} d_{GH}(X_i, d) = 0.$$

For noncompact metric space.

Defn (Pointed Gromov-Hausdorff distance)

$$d_{GH}((x, x), (Y, y)) := \inf \{d_H(x, Y) + d(x, y)\}, \quad x \in X, y \in Y.$$

$$M_x = \{(x, x, d)\}.$$

Defn (Pointed Gromov-Hausdorff convergence)

We say that  $(X_i, x_i, d_i) \rightarrow (X, x, d)$

in the pointed Gromov-Hausdorff topology if for all  $R > 0$ ,

$$(\overline{B}(x_i, R), x_i, d_i) \rightarrow (\overline{B}(x, R), x, d)$$

converge with respect to the pointed Gromov-Hausdorff metric.

Defn (Cheeger-Gromov convergence)

For closed complete Riemannian m-fds  $(M_i, g_i)$  and a closed Riem. mfd  $(M_\infty, g_\infty)$ . We say  $(M_i, g_i)$  converge to  $(M_\infty, g_\infty)$  in the sense of Cheeger-Gromov, if  $\exists$  diffeomorphisms  $\phi_i: M_\infty \rightarrow M_i$  s.t.

$$\lim_{i \rightarrow \infty} \left\| \phi_i^* g_i - g_\infty \right\|_{C^k(M_\infty, g_\infty)} = 0.$$

For noncompact mfds, we define pointed Cheeger-Gromov convergence.

For complete Riemannian mfds  $\{(M_i, g_i, p_i)\}$ ,  $p_i \in M_i$  and a complete Riem. mfd  $(M_\infty, g_\infty, p_\infty)$ ,  $p_\infty \in M_\infty$ , we say  $(M_i, g_i, p_i)$  converge to  $(M_\infty, g_\infty, p_\infty)$  in the sense of Cheeger-Gromov, if  $\exists$  a seq. of increasing open subsets  $p \in \cup_k \subset M_\infty$ , s.t.  $\overset{+ \cup_k \text{ is cpt.}}{\cup_k}$

$$\textcircled{1} \quad U_k \subset U_{k+1} \quad \text{and} \quad \textcircled{2} \quad \bigcap_{k=1}^{\infty} U_k = M_\infty.$$

and  $\exists$  diffeomorphisms  $\phi_k: U_k \rightarrow \phi_k(U_k) \subset M_k$

with  $\phi_k(p_\infty) = p_k$ , and for any compact subset  $A$  of  $M_\infty$ .

with

$$\lim_{k \rightarrow \infty} \left\| \phi_k^* g_k - g_\infty \right\|_{C^k(A, g_\infty)} = 0.$$

[Tian-Zhang, 2016] Regularity of Kähler-Ricci flow on Fano manifolds,  
Acta Math.

For  $\dim M \leq 3$ .

[Chen-Wang, 2017] Space of Ricci flows (II)

Part A: moduli of singular Calabi-Yau spaces, Forum Math. Sigma

Part B: weak compactness of the flows, J. Differential Geometry (2020)

For all dimension.

[Bamler, 2018] Convergence of Ricci flows with bounded scalar curvature,  
Ann. Math.

Proved a Hamilton-Tian Conjecture in Riemannian case.

[Wang-Zhu, 2021] Tian's partial  $C^\alpha$ -estimate implies Hamilton-Tian's conjecture,  
Adv. Math. (2021).

Hamilton-Tian conjecture  $\Rightarrow$  Tian's partial  $C^\alpha$ -estimate

[Blum-Liu-Xu-Zhuang, 2021, Arxiv]

The existence of the Kähler-Ricci soliton degeneration.

They proved an algebraic version of the Hamilton-Tian conjecture for  
all log Fano pairs.

• Tian-Zhang's proof.

Due to Perelman's estimate,  $\text{diam}(M, g(t)) \leq C$ ,  $|R(g(t))| \leq C$

and volume non-collapsing along Ricci flow, i.e.,  $\exists K = K(g_0, n)$  s.t.  $\forall x \in M$

$$(7.2) \quad \text{Vol}_{g(t)}(B_{g(t)}(x, r)) \geq K r^{2n}, \quad \forall t \geq 0 \text{ and } r \leq 1.$$

and  $\text{Vol}_{g(t)}(M) = \text{const.}$

Then  $\exists t_i \rightarrow \infty$  s.t.  $(M, g(t_i)) \xrightarrow{\text{dGH}} (M_\infty, d)$ ,  $(M_\infty, d)$  is a length space.

Q: Regularity of  $M_\infty$ ?

The main theorem of Tian-Zhang's paper.

Thm1 (Tian-Zhang, Acta Math., 2016)

For uniform constants  $p > n$  and  $\Lambda < \infty$ , if

$$(7.3) \quad \int_M |Ric(g(t))|^p dV_{g(t)} \leq \Lambda.$$

Then  $M_\infty$  is smooth outside a closed subset  $S$  of (real) codim  $\geq 4$  and  $d$  is induced by a smooth Kähler-Ricci soliton  $g_\infty$  on  $M_\infty \setminus S$ .

Moreover,  $g(t_i) \rightarrow g_\infty$  in  $C^\infty$  (Cheeger-Gromov) topology outside  $S$ .

The proof of Thm1 is based on <sup>①</sup>Perelman's pseudolocality theorem:

$\exists \varepsilon_p, \delta_p > 0$  and  $r_p > 0$ , which depend on  $p$  and  $\Lambda$  in Thm1, s.t.

If  $(x_0, t_0) \in M \times [-1, \infty)$  for  $g_i(t) := g(t_i + t)$ ,  $t \geq -1$

if  $\text{Vol}_{g_i(t_0)}(B_{g_i(t_0)}(x_0, r)) \geq (1 - \varepsilon_p) \text{vol}(B_r)$ , for some  $r \leq r_p$ .

$\text{vol}(B_r)$ : volume of a Euclidean ball of radius  $r$  in  $\mathbb{R}^{2n}$ . Then

$$(7.4) \quad |R_{g_i}(x, t)| \leq \frac{1}{t - t_0}, \quad \forall x \in B_{g_i(t_0)}(x_0, \varepsilon_p r), t_0 < t \leq t_0 + \varepsilon_p^2 r^2$$

and  $\text{Vol}_{g_i(t_0)}(B_{g_i(t_0)}(x_0, \delta_p \sqrt{t - t_0})) \geq (1 - \eta) \text{vol}(B_{\delta_p \sqrt{t - t_0}})$ ,  $t_0 < t \leq t_0 + \varepsilon_p^2 r^2$ .

(Choose  $\eta \leq \varepsilon_p$  in the application).

② A generalization of the regularity theory of Cheeger-Colding-Cheeger-Colding-Tian for manifolds with bounded Ricci curvature.

- Under condition (7.3).

For any  $p > \frac{1}{2}m$  ( $m = \dim_{\mathbb{R}} M$ ),  $\exists C(m, p)$  s.t.

$$(7.5) \quad \frac{d}{dr} \left( \left( \frac{\text{Vol}(B_\Gamma(x, r))}{r^m} \right)^{\frac{1}{2p}} \right) \leq C(m, p) \left( \frac{1}{r^m} \int_{B_\Gamma(x, r)} |\text{Ric}_-|^p dv \right)^{\frac{1}{2p}}, \quad \forall r > 0$$

here  $B_\Gamma(x, r) := \{ \exp_x(t\theta) \mid 0 \leq t < r \text{ and } \theta \in \Gamma \}$ ,  $\Gamma \subset S_x$ : unit sphere bundle.  $\text{Ric}_- := \max_{|v|=1} \{0, -\text{Ric}(v, v)\}$ .

$$\Rightarrow \forall r_2 > r_1 > 0$$

$$(7.6) \quad \left( \frac{\text{Vol}(B_\Gamma(x, r_2))}{r_2^m} \right)^{\frac{1}{2p}} - \left( \frac{\text{Vol}(B_\Gamma(x, r_1))}{r_1^m} \right)^{\frac{1}{2p}} \leq C(m, p) \left( r_2^{2p-m} \int_{B_\Gamma(x, r_2)} |\text{Ric}_-|^p dv \right)^{\frac{1}{2p}}$$

$\Rightarrow$  If  $\int_M |\text{Ric}|^p dv \leq \Lambda$ , then

$$(7.7) \quad \text{Vol}(B_\Gamma(x, r)) \leq |\Gamma| r^m + C(m, p) \Lambda r^{2p}, \quad \forall r > 0.$$

By Gromov's first convergence theorem,  $\exists$  a complete length metric space  $(Y, d)$  such that

$$(M_i, g_i) \xrightarrow{dGH} (Y, d).$$

The following is the structure theorem of the limit space under (7.3).

Thm 2: (i) For any  $r > 0$  and  $x_i \in M_i$  s.t.  $x_i \rightarrow x_\infty \in Y$ , we have

$$\text{vol}(B(x_i, r)) \rightarrow \mathcal{H}^m(B(x_\infty, r)). \quad \mathcal{H}^m: m\text{-dim Hausdorff measure}$$

(ii)  $\forall x \in Y$ , and any seq.  $\{r_j\}$  with  $\lim_{j \rightarrow \infty} r_j = 0$ ,  $\exists$  subseq.  $(Y, r_j^{-2}d, x)$

converging to a metric space  $(C_x, d_x, o)$  as  $j \rightarrow \infty$ . Any such space  $(C_x, d_x, o)$  is a metric cone with vertex  $o$  and splits off lines isometrically.

(iii)  $Y = SUR$ , where  $S$  is a closed set of  $\text{codim} \geq 2$  and  $R$  is convex in  $Y$ ;  $R$  consists of points whose tangent cone is  $\mathbb{R}^m$ .

(iv)  $\exists$  a  $C^{1,\alpha}$ -smooth structure on  $R$  and  $C^\alpha$ -metric  $g_\infty$ , for all  $\alpha < 2 - \frac{m}{p}$ , which induces  $d$ ; Moreover,  $g_i \rightarrow g_\infty$  in  $C^\alpha$ -topology on  $R$ .

(v) The singular set  $S$  has  $\text{codim} \geq 4$  if  $(M_i, g_i)$  is Kähler.

- Regularity under KRF.

Main Goal: Under KRF,  $\int_M |\text{Ric}(g(t))|^4 dV_{g(t)} \leq C$ ,  $\wedge C = C(g_0)$ .  $\wedge t \geq 0$

Notations:  $V = \int_M \omega^n$ , Ricci potential:  $R_{i\bar{j}} - g_{i\bar{j}} = \partial_i \partial_{\bar{j}} u$ ,  $\frac{1}{V} \int_M e^u dV_{g(t)} = 1$ .

Some evolutions.

$$\frac{\partial}{\partial t} u = \Delta u + u + a, \quad a = \frac{1}{V} \int_M u(t) e^{u(t)} dV_{g(t)} \geq 0$$

$$\frac{\partial}{\partial t} |\nabla u|^2 = \Delta |\nabla u|^2 - |\nabla \bar{\nabla} u|^2 - |\nabla \Delta u|^2 + |\nabla u|^2$$

$$\frac{\partial}{\partial t} \Delta u = \Delta (\Delta u) + |\nabla \bar{\nabla} u|^2 + \Delta u$$

$$\frac{\partial}{\partial t} |\nabla \bar{\nabla} u|^2 = \Delta |\nabla \bar{\nabla} u|^2 - 2 |\nabla \nabla \bar{\nabla} u|^2 + 2 R_{i\bar{j}k\bar{l}} \nabla_i \nabla_{\bar{j}} u \cdot \nabla_j \nabla_{\bar{k}} u$$

$$\begin{aligned} \frac{\partial}{\partial t} |\nabla \Delta u|^2 &= \Delta |\nabla \Delta u|^2 - |\nabla \nabla \Delta u|^2 - |\nabla \bar{\nabla} \Delta u|^2 + |\nabla \Delta u|^2 \\ &\quad - \nabla_i |\nabla \bar{\nabla} u|^2 \nabla_i \Delta u - \nabla_i \Delta u \cdot \nabla_{\bar{i}} |\nabla \bar{\nabla} u|^2 \end{aligned}$$

Prop. 7.1. Under KRF,

$$\int_0^\infty \int_M |\nabla \bar{\nabla} u|^2 dV dt < +\infty.$$

In particular,

$$\int_M |\nabla \bar{\nabla} u|^2 dV_{g(t)} \rightarrow 0, \text{ as } t \rightarrow \infty.$$

Prop. 7.2

$$\int_t^{t+1} \int_M |\nabla (\Delta u - |\nabla u|^2 + u)|^2 dV dt \rightarrow 0, \text{ as } t \rightarrow \infty$$

$$\int_M (\Delta u - |\nabla u|^2 + u - a)^2 dV \rightarrow 0, \text{ as } t \rightarrow \infty.$$

If  $\omega$  is a shrinking Kähler-Ricci soliton,  $g \sim \omega$

$$\text{Ric}(g) + \nabla^2 f = g$$

$$\Rightarrow f = -u \Rightarrow \nabla \nabla u = 0.$$

Hence for KRF  $(M, \omega(t))$ , if  $\omega(t) \rightarrow \omega_{KS}$ , it must be

$$\|\nabla \nabla u\|_{g(t)} \rightarrow 0.$$

We consider any solution  $f = f(t)$  to the backward heat eqn.

$$\frac{\partial f}{\partial t} = -\Delta f + |\nabla f|^2 - \Delta u$$

$$\Rightarrow \frac{d}{dt} \mathcal{W}(g, f) = \frac{1}{V} \int_M (|\nabla \bar{\nabla} (f+u)|^2 + |\nabla \nabla f|^2) e^{-f} dV_g$$

For prove Prop. 7.1 and 7.2, we need the following Lemma.

Lem 7.3:  $g(t) \sim \text{KRF}$ ,  $\forall f \in C^\infty(M)$ , we have

$$\int_M |\nabla \nabla f|^2 dV_{g(t)} \leq C(g_0) \int_M |\nabla \bar{\nabla} f|^2 dV_{g(t)}.$$

Pf: Integrating by parts and the weighted Poincaré inequality.

- Regularity of the limit.

For any seq.  $t_i \rightarrow \infty$ , we define  $g_i = g_i(t)$  (*are sol. of KRF*) by

$$(M, g_i(t)) = (M, g(t_i+t)), \quad t \geq -1.$$

Let  $U_i(t)$  be the associated Ricci potentials, Perelman's estimates.

$$\|U_i(t)\|_{C^0} + \|\nabla U_i(t)\|_{C^0} + \|\Delta U_i(t)\|_{C^0} \leq C(g_0), \quad \forall t \geq -1$$

and by Prop. 7.1,  $\forall t \geq -1$ .

$$\int_M |\nabla \nabla U_i(t)|^2 dV_{g_i(t)} \rightarrow 0, \text{ as } i \rightarrow \infty.$$

Under the condition ( $P>n$ )

$$\int_M |\text{Ric}(g(t))|^P dV_{g(t)} \leq \Lambda,$$

Fix time  $t=0$ ,

$$(M, g_i(0)) \xrightarrow{d_{\text{GH}}} (M_\infty, d).$$

$$M_\infty = \text{S}UR,$$

$R$ : smooth complex mfd w/ a  $C^\alpha$ -complex structure  $J_\infty$

and a  $C^\alpha$ -metric  $g_\infty$  which induces  $d$ .

$S$ : closed singular set of codim  $\geq 4$ .

Moreover,

$$(g_i(0), u_i(0)) \xrightarrow{C^\alpha \cap L^{2,p}} (g_\infty, u_\infty) \text{ on } R.$$

Elliptic regularity of  $\Delta u_i(0) = n - s(g_i(0)) \in L^p \Rightarrow u_i(0) \xrightarrow{L^{2,p}} u_\infty$ .

Perelman's estimate  $\Rightarrow u_\infty$  is globally Lipschitz on  $M_\infty$ .

Using the bootstrap argument argument of elliptic eqn. then

$g_\infty$  is smooth and satisfies

$$\text{Ric}(g_\infty) + \text{Hess } u_\infty = g_\infty \text{ on } R.$$

Moreover,  $J_\infty$  is smooth on  $R$  and  $g_\infty$  is Kähler with respect to  $J_\infty$ .

Then using Perelman's pseudolocality theorem, they can proved the smooth convergence on the regular set.

This finish the proof of Thm 1.

Thm 2: Under KRF,  $\exists C = C(g_0)$  s.t.

$$\int_M |\text{Ric}(g(t))|^4 dV_{g(t)} \leq C.$$

Thm 1 + Thm 2  $\Rightarrow$  Hamilton-Tian conj. is true for  $n \leq 3$ .

$$\text{Thm 2} \Leftrightarrow \int_M |\nabla \bar{\nabla} u|^4 dV_{g(t)} \leq C.$$

Using Perelman's estimate, Chern-Weil theory, and integration by parts.

$$\text{Lem 7.4: } \int_M (|\nabla \nabla u|^2 + |\nabla \bar{\nabla} u|^2 + |Rm|^2) dV_g \leq C, \quad \forall t \geq 0.$$

$$\text{Lem 7.5: } \int_M |\nabla \bar{\nabla} u|^4 dV \leq C \int_M (|\nabla \nabla \bar{\nabla} u|^2 + |\bar{\nabla} \nabla u|^2) dV$$

$$\int_M |\nabla \nabla u|^4 dV \leq C \int_M (|\nabla \nabla \nabla u|^2 + |\nabla \nabla \bar{\nabla} u|^2 + |\bar{\nabla} \nabla \nabla u|^2) dV.$$

Pf: Bochner formula:

$$\Delta |\nabla u|^2 = |\nabla \nabla u|^2 + |\nabla \bar{\nabla} u|^2 + \Delta \nabla_i u \nabla_i u + \nabla_i u \Delta \nabla_i u.$$

$$\begin{aligned} & \Rightarrow \int_M (|\nabla \nabla u|^2 + |\nabla \bar{\nabla} u|^2) |\nabla \bar{\nabla} u|^2 dV \\ & \leq \frac{1}{2} \int_M |\nabla \bar{\nabla} u|^2 (|\nabla \nabla u|^2 + |\nabla \nabla u|^2) dV \\ & \quad + 8(n^2+1) \int_M |\nabla u|^2 (|\nabla \nabla \bar{\nabla} u|^2 + |\bar{\nabla} \nabla \nabla u|^2) dV. \quad \# \end{aligned}$$

Lem. 7.6:

$$\int_M (|\bar{\nabla} \nabla \nabla u|^2 + |\nabla \nabla \bar{\nabla} u|^2 + |\nabla \nabla \nabla u|^2) dV \leq C \int_M (|\nabla \Delta u|^2 + |Rm|^2 + |\nabla u|^2) dV.$$

Now it suffices to estimate  $\int_M |\nabla \Delta u|^2 dV$ .

Lem 7.7:

$$\int_M (|\nabla \nabla \bar{\nabla} u|^2 + |\bar{\nabla} \nabla \nabla u|^2 + |\nabla \nabla \nabla u|^2 + |\nabla \nabla u|^4 + |\nabla \bar{\nabla} u|^4) dV \leq C.$$

$$\text{Pf: } \frac{\partial}{\partial t} (\Delta u)^2 = \Delta (\Delta u)^2 - 2 |\nabla \Delta u|^2 - 2 \Delta u \cdot |\nabla \bar{\nabla} u|^2 + 2 (\Delta u)^2$$

Integrating the above identity,

$$2 \int_M |\nabla \Delta u|^2 dv = \int_M (-2\Delta u |\nabla \bar{\nabla} u|^2 + 2(\Delta u)^2 + (\Delta u)^3) dv - \frac{d}{dt} \int_M (\Delta u)^2 dv.$$

$$\Rightarrow \int_t^{t+1} \int_M |\nabla \Delta u|^2 dv ds \leq C(g_0), \quad \forall t \geq 0$$

On the other hand,

$$\begin{aligned} \frac{d}{dt} \int_M |\nabla \Delta u|^2 dv &\leq -\frac{1}{2} \int_M (|\nabla \nabla \Delta u|^2 + |\nabla \bar{\nabla} \Delta u|^2) dv \\ &\quad + C(g_0) (1 + \int_M |\nabla \Delta u|^2 dv) \\ &\leq C(g_0) (1 + \int_M |\nabla \Delta u|^2 dv) \end{aligned}$$

$$\Rightarrow \int_M |\nabla \Delta u|^2 dv \leq C(g_0). \quad \#.$$

$$(Lem 7.4 - Lem 7.7) \Rightarrow \int_M |\text{Ric}(g(t))|^4 dv_{g(t)} \leq C(g_0).$$

$$\because \text{Ric}(w(t)) = w(t) + \sum \partial \bar{\partial} U(t).$$

- Partial  $C^0$ -estimate

Let  $(M, L, \omega)$  be a polarized mfd such that  $\omega$  is a Kähler metric  $\omega \in 2\pi G(L)$ . Choose a hermitian metric  $h$  on  $L$  such that  $R(h) = \omega$ .

Then for any positive integer  $l$ , we have the  $L^2$ -metric on  $H^0(M, L^l, \omega)$ .

$$(7.8) \quad \langle s_1, s_2 \rangle := \int_M \langle s_1, s_2 \rangle_{h \otimes L^l} \omega^n,$$

for  $s_1, s_2 \in H^0(M, L^{\otimes l})$

Defn. We denote this linear space  $H^0(M, L^l, \omega)$  with inner product by

(7.8). For any orthonormal basis  $\{s_\alpha^\beta\}$  ( $0 \leq \alpha \leq N = N(l)$ ) of  $H^0(M, L^l, \omega)$ , we define the Bergman kernel as

$$\rho_L(M, \omega)(x) := \sum_{i=1}^N |S^\alpha|_{h_i^{\otimes L}}^2(x).$$

Partial  $C^0$ -estimate.

Thm 7.8. [Liu-Székelyhidi, GAFA, 2022]

Given  $n, D, v > 0$ ,  $\exists l \in \mathbb{N}$  and  $b > 0$  with the following:

Suppose that  $(M, L, \omega)$  is a polarized Kähler mfd with  $\omega \in 2\pi\mathbb{C}(L)$  such that

$$\text{Ric}(\omega) \geq \omega, \quad \text{vol}(M, \omega) \geq v, \quad \text{diam}(M, \omega) \leq D.$$

Then

$$\rho_L(M, \omega)(x) \geq b, \quad \forall x \in M.$$

Thm 7.9 [Liu-Székelyhidi, GAFA, 2022]

Given  $n, d, v > 0$ , let  $(M_i^n, L_i, \omega_i)$  be a seq. of polarized Kähler mfds such that

- $L_i$  is a Hermitian hol. line bundle with hermitian metric  $h_i$  such that  $R(h_i) = \omega_i$ ,

- $\text{Ric}(\omega_i) \geq -\omega_i, \quad \text{vol}(M_i, \omega_i) > v, \quad \text{diam}(M_i, \omega_i) < d,$

- $(M_i^n, \omega_i) \xrightarrow{\text{dGH}} (X, d_\infty)$  (is a metric space).

Then  $X$  is homeomorphic to a normal variety. More precisely,

$\exists l = l(n, d, v) \in \mathbb{N}$  such that the orthonormal basis of

$H^0(M_i, L_i^l, \omega_i)$  defines an embedding  $\overline{\Phi}_i$ . Then by taking a subseq.  $\overline{\Phi}_i(M_i) \longrightarrow W \subset \mathbb{CP}^N$  as cycles, and  $X \xrightarrow{\text{homeo.}} W$ .

For KRF  $(M, \omega_t)$ , Zhang, Kewei (张小伟) proved the following partial  $C^0$ -estimate.

Thm 7.10 (Zhang, Kewei)

Assume  $(M, \omega_t)$  is a soln. of KRF. Then  $\exists l = l(M, \omega_0) \in \mathbb{N}$  and  $b = b(M, \omega_0) > 0$  such that

$$p_l(M, \omega_t)(x) \geq b, \quad \forall x \in M.$$

Wang-Zhu.

The partial  $C^0$ -estimate  $\Rightarrow$  Hamilton-Tian's conjecture.

Thm 7.11 (Wang-Zhu, Adv. 2020)

Assume  $(M, \omega_t)$  is a soln. of KRF,  $\exists t_i \rightarrow \infty$  and a  $\mathbb{Q}$ -Fano variety  $\tilde{M}_\infty$  with klt singularities such that  $\omega_{t_i}$  is locally  $C^\infty$ -convergent to Kähler-Ricci soliton  $\omega_\infty$  on  $\text{Reg}(\tilde{M}_\infty)$ . Moreover,  $\omega_\infty$  can be extended to a singular Kähler-Ricci soliton on  $\tilde{M}_\infty$  with a cont. Kähler potential and the completion of  $(\text{Reg}(\tilde{M}_\infty), \omega_\infty)$  is isometric to the global limit  $(M_\infty, \omega'_\infty)$  of  $\omega_{t_i}$  in sense of Gromov-Hausdorff.

In addition,  $\omega_\infty$  is a singular Kähler-Einstein metrics,

$$M_\infty \xrightarrow{\text{homeo.}} \tilde{M}_\infty.$$

• Hamilton-Tian conj.  $\Rightarrow$  Yau-Tian-Donaldson conj.

Tian-Zhang (Zhenlei)'s proof.

Thm 7.12 (Tian-Zhang, Partial  $C^0$ -estimate).

Assume that  $(M, g(t_i)) \xrightarrow{\text{dGH}} (M_\infty, g_\infty)$ , as in Thm 1. Then

$$(7.9) \quad \inf_{t_i} \inf_{x \in M} p_{t_i, l}(x) > 0$$

for a seq.  $l \rightarrow \infty$ .

Here  $\tilde{g}(t) = e^{\frac{ut}{n}} g(t)$ ,  $h(t)$ : induced metric of  $\tilde{g}(t)$  on  $K_M^{-l}$  s.t.

$$\text{Ric}(h(t)) = l \omega(t).$$

Set  $N_\ell = \dim H^0(M, K_M^{-\ell}) - 1$ . Orthonormal basis  $\{S_{t,\ell,k}\}_{k=0}^{N_\ell}$

of  $H^0(M, K_M^{-\ell})$  relative to the  $L^2$ -norm defined by  $h(t)$ . (see (7.8)).

defining

$$p_{t,\ell}(x) := \sum_{k=0}^{N_\ell} |S_{t,\ell,k}|_{h(t)}^2(x), \quad \forall x \in M.$$

Thm 7.13 (Tian-Zhang)

Suppose that the partial  $C^\circ$ -estimate (7.9) holds for a seq.  $t_i \rightarrow \infty$ . If  $M$  is K-stable, then the K-energy is bounded from below under KRF,

$$K(\omega_0, \omega(t)) \geq -C(g_0).$$

Pf: Using S. Paul's a result:

If  $M$  is K-stable, then the K-energy is bounded below on the space of Bergman metrics which rise from Kodaira embedding via bases of  $K_M^{-\ell}$ .  
(singular?)

Thm 7.13  $\Rightarrow M_\infty$  in Thm 1 must be Kähler-Einstein. Then its automorphism group must be reductive as a corollary of the Uniqueness theorem due to Berndtsson and Berman.

If  $M_\infty$  is not equal to  $M$ , then  $\exists$  a  $C^*$ -action  $\{\sigma(s)\}_{s \in C^*} \subset SL(N_\ell + 1, \mathbb{C})$  such that  $\sigma(s) \Phi(M) \rightarrow$  embedding of  $M_\infty$  in  $\mathbb{CP}^{N_\ell}$ . Contradicts the K-stability, since the Futaki inv. of  $M_\infty$  vanishes.

$\Rightarrow \exists$  KE metric on  $M = M_\infty$ .

- Bamler's result.

Thm 7.14: For  $(M, g(t))$  is a soln. of Ricci flow (Riemannian case)

Assume  $R(\cdot, t) \leq \frac{C}{T-t}$ ,  $\forall t \in (0, T)$ .

Then for any  $q \in M$  and any seq. of time  $t_i \nearrow T$ , we can choose a subseq. such that  $(M, \frac{g_{t_i}}{T-t_i}, q) \rightarrow (\chi, g_\infty) = (X, d, R, g_\infty)$  (which is singular space) that has singularities of codim 4 in the sense of the following Definition 7.2 and that is  $Y$ -regular at scale 1 in the sense of Definition 7.4 for some  $Y < \infty$  that only depends on  $g_0$  and  $C$ .

Moreover,  $\chi$  is a shrinking gradient Ricci soliton in the following sense:  $\exists$  bounded fcn  $f_\infty \in C^\infty(R)$  that satisfies

$$\text{Ric}(g) + \nabla^2 f_\infty = \frac{1}{2}g \quad \text{on } \chi.$$

Cor. (Hamilton-Tian Conj.)

Let  $(M, g(t))$  be a soln. of unnormalized KRF  $\frac{\partial w}{\partial t} = -\text{Ric}(w(\epsilon))$  on Fano mfd  $M$ . Then  $\forall$  seq.  $t_i \nearrow T$ ,  $\exists$  a subseq. s.t.

$$(M, (T-t_i)^{-1}g_{t_i}) \rightarrow \chi = (X, d, R, g)$$

$\chi$  is a compact singular space which has singularities of codim 4, that is  $Y$ -regular at scale 1 for some  $Y < \infty$  and that is a shrinker in the sense of Thm 7.14.

## Defn 7.1 (Singular space)

A tuple  $\chi = (X, d, R, g)$  is called an ( $n$ -dim) singular space if the following holds:

- (1)  $(X, d)$  is a locally cpt, complete metric length space.
- (2)  $R \subset X$  is open and dense w/ the structure of a diff. mfd whose top. is equal to the top. induced by  $X$ .
- (3)  $g$  is a smooth Riemannian metric on  $R$ .
- (4) The length metric of  $(R, g) = d|_R$ , i.e.,

$$(X, d) = \overline{(R, g)}$$

- (5)  $\exists 0 < k_1 < k_2 < \infty$  such that  $\forall r \in (0, 1)$ ,

$$k_1 r^n < \frac{|B(x, r) \cap R|}{d} < k_2 r^n.$$

If  $q \in X$  is a point, then the tuple  $(X, q)$  or  $(X, d, R, g, q)$  is called pointed singular space.  $R$ : regular part of  $X$ .

$X \setminus R$ : singular part of  $X$ .

We define

$$r_m = \begin{cases} 0, & \text{if } x \in X \setminus R. \\ \sup\{r \mid |R_m(y)| < r^2, \forall y \in B(x, r) \subset R\} & \end{cases}$$

## Defn 7.2 (Singularities of $\text{codim } P_0$ )

A singular space  $\chi = (X, d, R, g)$  is said to have singularities of  $\text{codim } P_0$ , if  $\forall 0 < p < P_0, x \in X$  and  $r_0 > 0, \exists E_{p, x, r_0} < \infty$  such that the following holds:  $\forall 0 < r < r_0$  and  $0 < s < 1$ , we have

$$|\{r_m < sr\} \cap B(x, r) \cap R| \leq E_{p, x, r_0} s^p r^n$$

" $\overline{r}$  in Bamler's paper?"

Defn 7.3 (Mild singularities)

A singular space  $X = (X, d, R, g)$  is said to have mild sing.  
if  $\forall p \in X \setminus R$ ,  $\exists$  a closed subset  $Q_p \subset R$  of measure zero such that  
 $\forall x \in R \setminus Q_p$ ,  $\exists$  a minimizing geodesic between  $p$  and  $x$  that  
lies in  $R$ .

Defn 7.4 ( $\Upsilon$ -regularity) A singular space  $X$  is called  
 $\Upsilon$ -regular at scales less than  $a$ , for some  $a, \Upsilon > 0$ , if  
 $\forall p \in X$  and  $0 < r < a$ , the following holds:

If

$$|B(p, r) \cap R| > (\omega_n - \Upsilon^{-1}) r^n,$$

then  $p \in R$  and  $r_{R_m}(y) > \Upsilon^{-1}r$ . Here  $\omega_n$  denotes the volume of  
the standard  $n$ -dim ball in  $\mathbb{R}^n$ . The space  $X$  is said to be  
 $\Upsilon$ -regular at all scales if it is  $\Upsilon$ -regular at scale  $a$   
for all  $a > 0$ .