

2022.12.29.

Under RF:  $\frac{\partial g(t)}{\partial t} = -2\text{Ric}(g(t))$

$$\Rightarrow \frac{\partial R}{\partial t} = \Delta R + 2|\text{Ric}|^2 \Rightarrow \frac{\partial R}{\partial t} \geq \Delta R + \frac{2}{n} R^2$$

$$\Rightarrow \frac{dR_{\min}}{dt} \geq \frac{2}{n} R_{\min}^2 \geq 0$$

$$\Rightarrow R_{\min}(t) \uparrow$$

• Calculate the evolution of  $R(t)$  under KRF

$$\therefore R = g^{i\bar{j}} R_{i\bar{j}} = -g^{i\bar{j}} \partial_i \partial_{\bar{j}} \log \det g \quad \frac{\partial \log \det g}{\partial t} = -\text{Ric}(g)$$

$$\therefore \frac{\partial R}{\partial t} = \frac{\partial g^{i\bar{j}}}{\partial t} R_{i\bar{j}} - g^{i\bar{j}} \partial_i \partial_{\bar{j}} \left( \frac{d}{dt} \log \det g \right)$$

$$= -g^{i\bar{q}} \frac{\partial g_{p\bar{q}}}{\partial t} g^{p\bar{j}} R_{i\bar{j}} - g^{i\bar{j}} \partial_i \partial_{\bar{j}} \underbrace{\text{tr} \left( g^{-1} \frac{\partial g}{\partial t} \right)}_{g^{k\bar{e}} \frac{\partial g_{k\bar{e}}}{\partial t}}$$

$$= \underline{g^{i\bar{q}} R_{p\bar{q}} g^{p\bar{j}} R_{i\bar{j}}} - g^{i\bar{j}} \partial_i \partial_{\bar{j}} (-R)$$

$$= \Delta R + |\text{Ric}|^2$$

$$\cdot \left( \frac{\partial}{\partial t} - \Delta \right) R_{i\bar{j}} = R_{i\bar{j}k\bar{e}} R_{e\bar{k}} - R_{i\bar{e}} R_{e\bar{j}}$$

Some evolutions:

$$(1) \frac{\partial}{\partial t} \dot{\varphi} = -R(t)$$

$$(2) \left(\frac{\partial}{\partial t} - \Delta\right) \varphi = \dot{\varphi} - n + \text{tr}_{\omega(t)} \hat{\omega}_t$$

$$(3) \left(\frac{\partial}{\partial t} - \Delta\right) \dot{\varphi} = \text{tr}_{\omega(t)} \chi$$

$$(4) \left(\frac{\partial}{\partial t} - \Delta\right)(t\dot{\varphi}) = \dot{\varphi} + \text{tr}_{\omega(t)} (\hat{\omega}_t - \omega_0)$$

$$(5) \left(\frac{\partial}{\partial t} - \Delta\right)(t\dot{\varphi} - \varphi - nt) = -\text{tr}_{\omega(t)} \omega_0 < 0$$

$$(6) \left(\frac{\partial}{\partial t} - \Delta\right)((T-t)\dot{\varphi} + \varphi + nt) = \text{tr}_{\omega(t)} (\hat{\omega}_{T'}) > 0$$

Thm 3.9:  $\exists C = C(\omega_0, T_{\max}) > 0$  s.t.

$$\sup_M \text{tr}_{\omega_0} \omega(t) \leq C, \quad \forall t \in [0, T_{\max})$$

$$\Rightarrow \omega(t) \leq C \omega_0$$

Cor 3.10:  $\exists$  a const.  $C > 0$ ,  $C = C(\omega_0, T_{\max})$  s.t.

$$C^{-1} \omega_0 \leq \omega(t) \leq C \omega_0, \quad \forall t \in [0, T_{\max})$$

pf of Cor 3.10. ① Thm 3.9  $\Rightarrow \omega(t) \leq C \omega_0$ .

$$\textcircled{2} \text{ Lem 3.7, } |\dot{\varphi}| \leq C \Leftrightarrow e^{-C} n \leq \omega^n \leq e^C n$$

holo. normal coord. w/  $\omega_0$ ,  $\omega(t) \leftrightarrow (\lambda_1, \dots, \lambda_n)$

$$\frac{1}{C} \leq \prod_{i=1}^n \lambda_i \leq C, \quad (C > 0)$$

$$\Rightarrow \lambda_i \geq \frac{1}{c_1} \cdot \frac{1}{\prod_{j \neq i} \lambda_j} \stackrel{\text{D}}{\geq} \frac{1}{c_2} \quad \#$$

Thm 3.11 (Sherman-Weinkove, 2012, Pac. J. Math.)

Assume  $U$  is an open set of  $M$ ,  $\omega(t)$  is soln. of KRF on  $U \times [0, T)$  w/  $\omega(0) = \omega_0$ . Assume  $\exists C_0 > 0$  s.t.

$$C_0^{-1} \omega_0 \leq \omega(t) \leq C_0 \omega_0 \quad \text{on } U \times [0, T)$$

for some Kähler metric  $\omega_0$  on  $M$ . Then given  $\forall$  compact

set  $K \subset U$  and  $\exists C_K = C_K(K, U, k, \omega_0, C_0)$

s.t.

$$\|\omega(t)\|_{C^k(K, \omega_0)} \leq C, \quad \forall t \in [0, T).$$

Pf of Thm 3.11 omit.

Pf of Thm 3.6: By Cor 3.10 + Thm 3.11

$$\Rightarrow \|\omega(t)\|_{C^k(M, \omega_0)} \leq C_k, \quad \forall t \in [0, T_{\max})$$

$$\because \sqrt{-1} \partial \bar{\partial} \varphi(t) = \omega(t) - \hat{\omega}_t$$

$$\hat{\omega}_t = \omega_0 + t\chi$$

$$\Rightarrow \text{tr}_{\omega_0} \hat{\omega}_t = n + t \text{tr}_{\omega_0} \chi$$

$$\Rightarrow \Delta_{\omega_0} \varphi = \text{tr}_{\omega_0} (\omega(t) - \hat{\omega}_t) = \text{tr}_{\omega_0} \omega(t) - \text{tr}_{\omega_0} \hat{\omega}_t =: f$$

$$\because \|\omega(t)\|_{C^k(M, \omega_0)} \leq C_k \Rightarrow \|f\|_{C^k(M, \omega_0)} \leq C_k.$$

For fixed  $\alpha \in (0, 1)$ , we have the elliptic estimates

$$\|\varphi(t)\|_{C^k(M, g_0)} = \|\varphi(t)\|_{C^{k, \alpha}(M, g_0)} \quad \|f\|_{C^{\alpha}} := \sup_{x, y} \frac{|f(x) - f(y)|}{|x - y|^\alpha}$$

Schauder interior estimates

Gilbarg-Trudinger Chapter 6.

$$\leq C_k \left( \|\Delta_{\omega_0} \varphi(t)\|_{C^{k-2, \alpha}(M, g_0)} + \|\varphi(t)\|_{C^0(M)} \right)$$

$$\leq C_k \left( \underbrace{\|\Delta_{\omega_0} \varphi(t)\|_{C^{k-1}(M, g_0)}}_f + \|\varphi(t)\|_{C^0(M)} \right) \quad \#$$

Now we begin to prove the Thm 3.9.

Lem 3.12 (Parabolic Schwarz Lemma)

$$\left( \frac{\partial}{\partial t} - \Delta \right) \log \operatorname{tr}_{\omega_0} \omega(t) \leq C_0 \operatorname{tr}_{\omega(t)} \omega_0$$

Where  $-C_0$  is a lower bound for the bisectional curvature of  $\omega_0$ , i.e.,

$$\mathring{R}_{k\bar{k}i\bar{i}} = \mathring{R}_m(\partial_k, \bar{\partial}_k, \partial_i, \bar{\partial}_i) \geq -C_0$$

$\mathring{R}, \mathring{R}_m$  with  $\omega_0$ .

Pf of Lem 3.12. Set  $u = \operatorname{tr}_{\omega_0} \omega(t) = (g_0)^{i\bar{j}} g_{i\bar{j}} > 0$

$$\frac{\partial u}{\partial t} = g_0^{i\bar{j}} \frac{\partial g_{i\bar{j}}}{\partial t} = -g_0^{i\bar{j}} R_{i\bar{j}} = -\operatorname{tr}_{\omega_0}(\operatorname{Ric}(\omega(t)))$$

$$\Delta u = g^{k\bar{l}} \partial_k \partial_{\bar{l}} u = g^{k\bar{l}} \partial_k \partial_{\bar{l}} (g_0^{i\bar{j}} g_{i\bar{j}})$$

At point  $p$ , we calculate with local normal coord. for  $\omega_0$  where  $\omega(t)$  is diagonal, i.e.

$$(g_0)_{i\bar{j}}(p) = \delta_{ij}, \quad \partial_k (g_0)_{i\bar{j}}(p) = 0, \quad g_{i\bar{j}}(p) = \lambda_i \delta_{ij}$$

$$\begin{aligned} \Delta u(p) &= g^{k\bar{l}} \partial_k \partial_{\bar{l}} (g_0^{i\bar{j}}) \underset{g_{i\bar{j}}}{g} + g^{k\bar{l}} g_0^{i\bar{j}} \partial_k \partial_{\bar{l}} g_{i\bar{j}} \\ &= -g^{k\bar{l}} g_0^{i\bar{q}} g_0^{p\bar{j}} \partial_k \partial_{\bar{l}} (g_0)_{p\bar{q}} \Big|_p + g^{k\bar{l}} g_0^{i\bar{j}} \partial_k \partial_{\bar{l}} g_{i\bar{j}} \Big|_p \end{aligned}$$

12.12.12.  $R_{i\bar{j}k\bar{l}} = -\partial_i \partial_{\bar{j}} g_{k\bar{l}} + g^{p\bar{q}} \partial_i g_{k\bar{q}} \cdot \partial_{\bar{j}} g_{p\bar{l}}$

$$= g^{k\bar{l}} g_0^{i\bar{q}} g_0^{p\bar{j}} \overset{\circ}{R}_{k\bar{l}p\bar{q}} + g^{k\bar{l}} g_0^{i\bar{j}} (-R_{k\bar{l}i\bar{j}} + g^{p\bar{q}} \partial_i g_{k\bar{q}} \partial_{\bar{j}} g_{p\bar{l}})$$

$$= g^{k\bar{k}} g_{i\bar{i}} \overset{\circ}{R}_{k\bar{k}i\bar{i}} - \underbrace{g_0^{i\bar{j}} R_{i\bar{j}}}_{\neq R} + g_0^{i\bar{j}} g^{k\bar{l}} g^{p\bar{q}} \overset{\circ}{\nabla}_i g_{k\bar{q}} \overset{\circ}{\nabla}_{\bar{j}} g_{p\bar{l}}$$

$$\Rightarrow \left( \frac{\partial}{\partial t} - \Delta \right) \log u = \frac{1}{u} (\partial_t - \Delta) u + \frac{|\nabla u|^2}{u^2}$$

$$= -\frac{1}{u} g^{k\bar{k}} g_{i\bar{i}} \overset{\circ}{R}_{k\bar{k}i\bar{i}} - \frac{1}{u} \left( g_0^{i\bar{j}} g^{k\bar{l}} g^{p\bar{q}} \overset{\circ}{\nabla}_i g_{k\bar{q}} \overset{\circ}{\nabla}_{\bar{j}} g_{p\bar{l}} \right)$$

$$\leq C_0 \cdot \frac{1}{u} (\text{tr}_{\omega(t)} \omega_0) (\text{tr}_{\omega_0} \omega(t)) - \frac{A}{u} - \frac{|\nabla u|^2}{u}$$

$$A := g_0^{i\bar{j}} g^{k\bar{l}} g^{p\bar{q}} \overset{\circ}{\nabla}_i g_{k\bar{q}} \overset{\circ}{\nabla}_{\bar{j}} g_{p\bar{l}} - \frac{|\nabla u|^2}{u}$$

$$\leq C_0 \operatorname{tr}_{\omega(t)} \omega_0 - \frac{A}{u}$$

Define:  $B_{ki\bar{q}} := \dot{\nabla}_k g_{i\bar{q}} - \frac{\partial_k u}{u} g_{i\bar{q}}$

Then

$$0 \leq g_0^{i\bar{j}} g_0^{k\bar{l}} g_0^{p\bar{e}} B_{ki\bar{q}} \overline{B_{lj\bar{p}}} = A$$

$$\Rightarrow \left( \frac{\partial}{\partial t} - \Delta \right) \log u \leq C_0 \operatorname{tr}_{\omega(t)} \omega_0 \quad \#$$

pf of Thm 3.9:  $u \leq C.$

Set  $Q := \log \operatorname{tr}_{\omega_0} \omega(t) + C_0 (t\psi - \varphi - nt)$

here  $C_0$  is in the Lem 3.12.

$$\therefore (5) \quad \left( \frac{\partial}{\partial t} - \Delta \right) (t\psi - \varphi - nt) = -\operatorname{tr}_{\omega(t)} \omega_0$$

$$\therefore \left( \frac{\partial}{\partial t} - \Delta \right) Q \leq 0$$

Using the maximum principle,

$$\frac{\partial}{\partial t} Q_{\max} \leq 0 \Rightarrow Q_{\max}(t) \leq Q_{\max}(0) \leq C.$$

$$\therefore |\psi| \leq C, |\varphi| \leq C$$

$$\Rightarrow \operatorname{tr}_{\omega_0} \omega(t) \leq C \quad \#$$

## §4 Convergence Theorems with $C_1(M) < 0$ or $C_1(M) = 0$

### §4.1 Introduction to Calabi conjecture.

$$\therefore R_{i\bar{j}} = -\partial_i \partial_{\bar{j}} \log \det g$$

$$\text{and } \text{Ric}(\omega) = \int_{-1} R_{i\bar{j}} dz^i \wedge d\bar{z}^j \in 2\pi C_1(M)$$

Thm (Calabi-Yau) Let  $(M, \omega)$  be a Kähler mfd.

Let  $T \in 2\pi C_1(M)$  be a closed  $(1,1)$  form. Then  $\exists!$  Kähler metric  $\tilde{g}_0$  on  $M$  with Kähler form  $\tilde{\omega}_0$  s.t.

$$[\tilde{\omega}_0] = [\omega] \quad \text{and} \quad \text{Ric}(\tilde{\omega}_0) = T.$$

RK: Calabi conj. 1954, "The space of Kähler metrics", Proc ICM, 1954.

Calabi proved the uniqueness.

Yau (1976) proved the existence.

Cor: If  $C_1(M) = 0$ , then  $M$  has a unique Ricci-flat Kähler metric  $\tilde{g}$  w/ Kähler form  $\tilde{\omega}$  s.t.  $[\tilde{\omega}] = [\omega]$ .

• The mfd w/  $C_1(M) = 0$  is called the Calabi-Yau mfd.

• If  $\exists \tilde{\omega}_0$  s.t.  $\text{Ric}(\tilde{\omega}_0) = T$  &  $[\tilde{\omega}_0] = [\omega]$

Then  $\exists \varphi \in C^\infty(M)$  s.t.  $\tilde{\omega}_0 = \omega + \int_{-1} \partial \bar{\partial} \varphi$ .

$$\begin{aligned} T \in 2\pi C_1(M) &\Rightarrow \exists F \in C^\infty(M) \text{ s.t. } T = \text{Ric}(\omega) + \int_{-1} \partial \bar{\partial} F \\ &= -\int_{-1} \partial \bar{\partial} \log \omega^n + \int_{-1} \partial \bar{\partial} F \\ &= -\int_{-1} \partial \bar{\partial} \log e^{-F} \omega^n \end{aligned}$$

On the other hand,

$$T = \text{Ric}(\tilde{\omega}_0) = -\pi \partial \bar{\partial} \log \tilde{\omega}_0^n$$

$$\Rightarrow \log \tilde{\omega}_0^n = \log e^{-F} \omega^n + C$$

$$\Rightarrow \tilde{\omega}_0^n = e^{-F+C} \omega^n \quad \text{Set } f = -F+C.$$

$$(*) \quad (\omega + \pi \partial \bar{\partial} \varphi)^n = e^f \omega^n \quad \text{--- Monge-Ampère equation}$$

Calabi Conj.  $\Leftrightarrow$  To solve MA eqn  $(*)$ .

$$(*)_t: (\omega + \pi \partial \bar{\partial} \varphi_t)^n = e^{tf} \omega^n$$

$$E := \{t \mid (*)_t \text{ has soln. } \varphi_t, t \in [0, 1]\}$$

Easy to see  $0 \in E. \Rightarrow E \neq \emptyset$ .

To prove ①  $E$  is open    ②  $E$  is closed

$$\Rightarrow E = [0, 1] \Rightarrow (*) \text{ has a soln. } \varphi.$$

Thm (Aubin-Calabi-Yau) If  $C_1(M) < 0$ , then  $M$  has a unique KE metric  $\omega_0$ , s.t.

$$\text{Ric}(\omega_0) = -\omega_0$$

KE eqn:  $\text{Ric}(\tilde{\omega}) = \lambda \tilde{\omega} \in 2\pi c_1(M)$  &  $[\tilde{\omega}] = [\omega]$

$$\Rightarrow \text{KE eqn} \Leftrightarrow (\omega + \pi \partial \bar{\partial} \varphi)^n = e^{f-\lambda \varphi} \omega^n$$

$$\text{here } \text{Ric}(\omega) - \lambda \omega = \pi \partial \bar{\partial} f.$$



1985, Cao introduced the Kähler-Ricci flow

$$(1) \quad \frac{\partial \tilde{g}_{i\bar{j}}}{\partial t} = -\tilde{R}_{i\bar{j}}(t) + T_{i\bar{j}}, \quad \tilde{g}_{i\bar{j}}(0) = g_{i\bar{j}}$$

$$\Rightarrow \frac{d}{dt} [\tilde{\omega}] = 0 \Rightarrow [\tilde{\omega}(t)] = [\omega]$$

$$\Rightarrow \exists \varphi(t) \in C^\infty(M) \text{ s.t. } \tilde{\omega}(t) = \omega + \sqrt{-1} \partial \bar{\partial} \varphi(t)$$

$$\therefore [T] = [\text{Ric}(\omega)]$$

$$\therefore \exists f \in C^\infty(M) \text{ s.t. } T_{i\bar{j}} - R_{i\bar{j}} = \sqrt{-1} \partial_i \bar{\partial}_{\bar{j}} f$$

$$\Rightarrow T_{i\bar{j}} = -\sqrt{-1} \partial_i \bar{\partial}_{\bar{j}} \log(e^{-f} \omega^n)$$

Hence (1)  $\Leftrightarrow$

$$(1)' \quad \begin{cases} \frac{\partial \varphi}{\partial t} = \log \frac{(\omega + \sqrt{-1} \partial \bar{\partial} \varphi)^n}{\omega^n} + f \\ \varphi(x, 0) = 0 \\ \omega + \sqrt{-1} \partial \bar{\partial} \varphi > 0 \end{cases}$$

• For Kähler-Einstein problem, consider the following KRF

$$(2)_\lambda \quad \frac{\partial \tilde{g}_{i\bar{j}}}{\partial t} = -\tilde{R}_{i\bar{j}} + \lambda \tilde{g}_{i\bar{j}}, \quad \tilde{g}_{i\bar{j}}(0) = g_{i\bar{j}} \quad \text{w/ } \lambda \in 2\pi\sqrt{-1}\mathbb{C}(M)$$

$$\Leftrightarrow (2)'_\lambda \quad \begin{cases} \frac{\partial \varphi}{\partial t} = \log \frac{(\omega + \sqrt{-1} \partial \bar{\partial} \varphi)^n}{\omega^n} + f + \lambda \varphi \\ \varphi(x, 0) = 0 \\ \omega + \sqrt{-1} \partial \bar{\partial} \varphi > 0 \end{cases}$$

$$\cdot \frac{\partial \dot{\varphi}}{\partial t} = \Delta_{\omega(t)} \dot{\varphi} + \lambda \dot{\varphi} \Rightarrow \left( \frac{\partial}{\partial t} - \Delta \right) \dot{\varphi} = \lambda \dot{\varphi}$$

$$\rightsquigarrow \dot{\varphi}(t) \sim e^{\lambda t} \dot{\varphi}(0)$$

• In fact, there exist closed Kähler mfd with  $C_1(M) > 0$  but  $M$  does not have KE metric, e.g.,  $\mathbb{C}P^2$  blow up at one pt,  $\mathbb{C}P^2$  blow up at two pts, refer Tian's paper.

• Fact,  $\mathbb{C}P^2 \# k \overline{\mathbb{C}P^2}$  is Fano, if  $0 \leq k \leq 8$