

Since M is cpt, $\forall \tilde{\lambda}_i$ sufficiently close to λ_i , we have

$$\sum_{i=1}^N \tilde{\lambda}_i \alpha_i + \Gamma \partial \bar{\partial} \varphi > 0$$

$$\Rightarrow \sum_{i=1}^N \tilde{\lambda}_i [\alpha_i] \in K_a(M)$$

i.e., \exists neighborhood U of $[\alpha]$ s.t. $U \subset K_a(M)$

$\Rightarrow K_a(M)$ is open

#.

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Defn (Nef) If $[\alpha] \in \overline{K_a(M)}$, then $[\alpha]$ is called nef.
"numerally + effective"

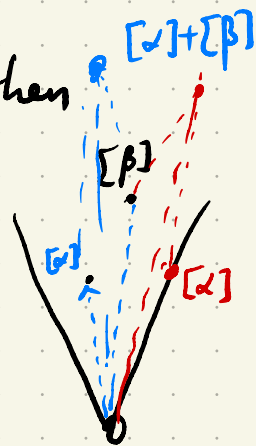
Lemma 2.2. Assume (M, ω) is a cpt Kähler mfd.

Then $[\alpha] \in H^{1,1}(M, \mathbb{R})$ is nef iff $\forall \varepsilon > 0, \exists \varphi_\varepsilon \in C^\infty(M)$
such that

$$\alpha + \Gamma \partial \bar{\partial} \varphi_\varepsilon > -\varepsilon \omega$$

Pf: Omit. Detail of pf, refer to Tosatti's KAWA lecture.

Cor 2.3. If $[\alpha] \in \overline{K_a(M)}$, $[\beta] \in K_a(M)$, then
 $[\alpha] + [\beta] \in K_a(M)$.



Defn (Big). A nef class $[\alpha]$ is called big

if $\int_M \alpha^n > 0$.

Defn (First Chern Class) $C_1(M) := \frac{1}{2\pi} [\text{Ric}(\omega)]$

Prop. 2.4: $C_1(M)$ is independent of choice of ω .

pf: Assume $\tilde{\omega} = \int \tilde{g}_{i\bar{j}} dz^i \wedge d\bar{z}^j$ be any other Kähler metric.

Then $\exists F \in C^\infty(M)$ s.t.

$$\tilde{\omega}^n = e^F \cdot \omega^n$$

$$\Rightarrow \text{Ric}(\tilde{\omega}) = -\int \partial\bar{\partial} \log \tilde{\omega}^n = -\int \partial\bar{\partial} \log(e^F \omega^n)$$

$$= -\int \partial\bar{\partial} F + \text{Ric}(\omega)$$

$$\Rightarrow [\text{Ric}(\tilde{\omega})] = [\text{Ric}(\omega)] \quad \#$$

Example: Let $M = M_1 \times M_2$, (M_1, ω_1) , (M_2, ω_2) .

$\pi_1: M \rightarrow M_1$, $\pi_2: M \rightarrow M_2$ projection map

Define $\omega = \pi_1^* \omega_1 + \pi_2^* \omega_2$.

Then $\text{Ric}(\omega) = \pi_1^* \text{Ric}(\omega_1) + \pi_2^* \text{Ric}(\omega_2)$

If $\omega_1(t)$, $\omega_2(t)$ are soln. of (KRF) on M_1 and M_2 , respectively.

w/ $\omega_1(0) = \omega_1$, $\omega_2(0) = \omega_2$

Then $\omega(t) = \pi_1^* \omega_1(t) + \pi_2^* \omega_2(t)$ is a soln. of (KRF)

on M with $\omega(0) = \omega$.

§3 The maximal existence time theorem

Assume $g(t)$ is a soln. of (RF) on $M \times [0, T)$.

T : the maximal time & $T < +\infty$.

• Hamilton, $\sup_{M \times [0, T)} |R_m| = +\infty$.

• Sesum, $\sup_{M \times [0, T)} |\text{Ric}| = +\infty$

Question: $\sup_{M \times [0, T)} |R| = +\infty?$ ($\Leftrightarrow \sup_{M \times [0, T)} R = +\infty$)

Kähler case, \checkmark , due to Zhang, Zhou.

For (KRF):
$$\begin{cases} \frac{\partial \omega(t)}{\partial t} = -\text{Ric}(\omega(t)) \\ \omega(0) = \omega_0 \end{cases}$$

\Rightarrow
$$\begin{cases} \frac{d}{dt} [\omega(t)] = -2\pi C_1(M) \\ [\omega_0] = [\omega_0] \end{cases}$$

$\Rightarrow [\omega(t)] = [\omega_0] - 2\pi t C_1(M)$

If $\omega(t)$ is Kähler $\Rightarrow [\omega(t)] > 0$ i.e., $[\omega_0] - 2\pi t C_1(M) > 0$.

• If $C_1(M) < 0 \Rightarrow T > +\infty$

• If $C_1(M) = 0 \Rightarrow T = +\infty$

Thm 3.1 (Tian-Zhang, Zhou, 2006)

Let (M, ω_0) be a closed Kähler mfd. Then (KRF) has a

Unique smooth soln. $\omega(t)$ defined on the maximal time interval $[0, T)$ ($0 < T \leq +\infty$), where T is given by

$$T := \sup \left\{ t > 0 \mid [\omega_0] - 2\pi t C_1(M) \in \underline{K_a(M)} \right\} \quad \text{--- (3.1)}$$

Cor 3.2: $T = +\infty$ iff $-C_1(M) \in \overline{K_a(M)}$ i.e. $-C_1(M)$ is nef.

• Parabolic complex Monge-Ampère equation.

Given a Kähler metric $\hat{\omega}$ (as a background metric)

s.t. $[\omega(t)] = [\hat{\omega}]$, then by $\partial\bar{\partial}$ -Lemma, $\exists \varphi(t) \in C^\infty(M)$

s.t. $\omega(t) = \hat{\omega} + \pi \partial\bar{\partial} \varphi$.

Fix a smooth volume form ν' on M , then $\exists F \in C^\infty(M)$, s.t.

$$\nu' = e^F \cdot \omega_0^n$$

Then $\exists c \in \mathbb{R}$ s.t. $\int e^{F+c} \omega_0^n = \int \omega_0^n$

Set $\nu = e^{F+c} \cdot \omega_0^n = e^c \cdot \nu'$ satisfying

$$\int_M \nu = \int_M \omega_0^n$$

& $[\text{Ric}(\nu)] = [\text{Ric}(\nu')] = [\text{Ric}(\omega_0^n)] = [\text{Ric}(\omega_0)] = 2\pi C_1(M)$

Now we begin to prove Thm 3.1.

Fix any $T' \in (0, T)$ ($\Rightarrow T' < +\infty$), and by definition, we have

$$[\omega_0] - 2\pi T' C_1(M) \in K_a(M)$$

$\therefore \exists$ Kähler metric η s.t.

$$[\eta] = [\omega_0] - 2\pi T' C(M)$$

Set $\chi := \frac{1}{T'} (\eta - \omega_0) \dots \dots \dots (3.2)$

$$\Rightarrow [\chi] = -2\pi C(M) = -[\text{Ric}(\omega)]$$

and $\hat{\omega}_t := \omega_0 + t\chi = \frac{1}{T'} ((T'-t)\omega_0 + t\eta) \dots \dots \dots (3.3)$

$\Rightarrow \hat{\omega}_t$ is a Kähler metric for $t \in [0, T']$.

We choose a smooth volume form ν s.t.

$$\text{Ric}(\nu) = -\chi \quad \text{and} \quad \int_M \nu = \int_M \omega_0^n \dots \dots (3.4)$$

$$\therefore [\omega(t)] = [\hat{\omega}_t]$$

$\therefore \exists \varphi(t) \in C^\infty(M)$ s.t. $\omega(t) = \hat{\omega}_t + \sqrt{-1} \partial \bar{\partial} \varphi(t)$

Lem 3.3: The KRF equation is equivalent to the following *if and only if* parabolic complex Monge-Ampère equation

$$(KRF) \iff \begin{cases} \frac{\partial}{\partial t} \varphi(t) = \log \frac{(\hat{\omega}_t + \sqrt{-1} \partial \bar{\partial} \varphi(t))^n}{\nu} \\ \hat{\omega}_t + \sqrt{-1} \partial \bar{\partial} \varphi(t) > 0 \\ \varphi(0) = 0 \end{cases} \dots \dots (3.5)$$

Pf: " \Leftarrow " ("if" direction)

Direct calculation.
$$\begin{aligned} \frac{\partial \omega(t)}{\partial t} &= \frac{\partial \hat{\omega}_t}{\partial t} + \sqrt{-1} \partial \bar{\partial} \frac{\partial \varphi(t)}{\partial t} \\ &= \chi + \sqrt{-1} \partial \bar{\partial} \log \frac{\omega^n(t)}{\nu} \end{aligned}$$

$$\begin{aligned}
&= \chi - \text{Ric}(\omega(t)) + \text{Ric}(\Omega) \\
&= \chi - \text{Ric}(\omega(t)) - \chi \\
&= -\text{Ric}(\omega(t)).
\end{aligned}$$

" \Rightarrow " ("Only if")

$$\therefore \frac{\partial \omega(t)}{\partial t} = -\text{Ric}(\omega(t)) \quad \text{and} \quad \omega(t) = \hat{\omega}_t + \Gamma_1 \partial \bar{\partial} \varphi(t)$$

$$\therefore \frac{\partial \hat{\omega}_t}{\partial t} + \Gamma_1 \partial \bar{\partial} \frac{\partial \varphi}{\partial t} = \Gamma_1 \partial \bar{\partial} \log \omega^*(t)$$

$$\chi + \Gamma_1 \partial \bar{\partial} \frac{\partial \varphi}{\partial t} = \Gamma_1 \partial \bar{\partial} \log \omega^*(t)$$

$$\therefore \chi = -\text{Ric}(\Omega)$$

$$\begin{aligned}
\Rightarrow \Gamma_1 \partial \bar{\partial} \frac{\partial \varphi}{\partial t} &= \Gamma_1 \partial \bar{\partial} \log \omega^*(t) - \Gamma_1 \partial \bar{\partial} \log \Omega \\
&= \Gamma_1 \partial \bar{\partial} \log \frac{\omega^*(t)}{\Omega}
\end{aligned}$$

$\therefore \exists c(t) \in \mathbb{R}$ s.t.

$$\begin{aligned}
\frac{\partial \varphi}{\partial t} &= \log \frac{\omega^*(t)}{\Omega} + c(t) \\
&= \log \frac{(\hat{\omega}_t + \Gamma_1 \partial \bar{\partial} \varphi(t))^n}{\Omega} + c(t)
\end{aligned}$$

Normalizing for φ s.t.

$$\left\{ \begin{array}{l} \frac{\partial \varphi}{\partial t} = \log \frac{(\hat{\omega}_t + \Gamma_1 \partial \bar{\partial} \varphi(t))^n}{\Omega} \\ \varphi(0) = 0 \\ \hat{\omega}_t + \Gamma_1 \partial \bar{\partial} \varphi(t) > 0 \end{array} \right.$$

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Short-time existence and uniqueness due to Hamilton (1982)

Here we provide a pf for uniqueness theorem of KRF.

Thm 3.4: The uniqueness thm of KRF.

Pf: Assume $w_1(t)$ and $w_2(t)$ are soln. of (KRF) w/ $w_1(0) = w_2(0) = w_0$.

$\Rightarrow \exists \varphi_1(t), \varphi_2(t) \in C^\infty(M)$ s.t.

$$w_1(t) = \hat{w}_t + \Gamma \partial \bar{\partial} \varphi_1(t), \quad w_2(t) = \hat{w}_t + \Gamma \partial \bar{\partial} \varphi_2(t)$$

and $\varphi_1(t), \varphi_2(t)$ are soln. of (3.5) for $t \in [0, T')$.

Goal: $\varphi_1(t) = \varphi_2(t)$.

Let $\psi(t) = \varphi_1(t) - \varphi_2(t)$. Then

$$\begin{aligned} w_2(t) &= \hat{w}_t + \Gamma \partial \bar{\partial} \varphi_2 = \hat{w}_t + \Gamma \partial \bar{\partial} (\varphi_1 - \psi) \\ &= w_1(t) - \Gamma \partial \bar{\partial} \psi \end{aligned}$$

$$\begin{aligned} \therefore (w_2(t) + \Gamma \partial \bar{\partial} \psi(t))^n &= w_1^n(t) = e^{\dot{\varphi}_1(t)} \Omega = e^{\dot{\psi} + \dot{\varphi}_2(t)} \Omega \\ &= e^{\dot{\psi}} w_2^n(t) \end{aligned}$$

$$\Rightarrow \begin{cases} \frac{\partial \psi}{\partial t} = \log \frac{(w_2(t) + \Gamma \partial \bar{\partial} \psi(t))^n}{w_2^n(t)} \\ \psi(0) = 0 \\ w_2(t) + \Gamma \partial \bar{\partial} \psi(t) > 0 \end{cases}$$

Pf of the maximum principle.

For any given $\varepsilon > 0$, set $\tilde{\psi}(t) = \psi(t) - \varepsilon t \Rightarrow \tilde{\psi} \in C^\infty(M \times [0, T))$

$$\text{and} \quad \frac{\partial \tilde{\psi}}{\partial t} = \log \frac{(w_2(t) + \Gamma \partial \bar{\partial} \tilde{\psi}(t))^n}{w_2^n(t)} - \varepsilon$$

~~Using the maximum principle.~~ At the maximum pt (x_0, t_0) of $\tilde{\Psi}$

$$\nabla \partial \tilde{\Psi}(x_0, t_0) \leq 0.$$

$$\text{If } t_0 > 0, \Rightarrow \frac{\partial \tilde{\Psi}}{\partial t}(x_0, t_0) \geq 0 \quad \left. \vphantom{\frac{\partial \tilde{\Psi}}{\partial t}(x_0, t_0) \geq 0}} \right\} \text{contradiction.}$$

$$\text{On the other hand, } \frac{\partial \tilde{\Psi}}{\partial t}(x_0, t_0) \leq -\varepsilon$$

$$\Rightarrow t_0 = 0.$$

$$\Rightarrow \tilde{\Psi}(t) \leq \tilde{\Psi}(0) = 0 \Rightarrow \psi(t) \leq \varepsilon t \leq \varepsilon T'$$

$$\text{By the arbitrary of } \varepsilon \Rightarrow \psi(t) \leq 0.$$

Similarly, at the minimum pt $(\tilde{x}_0, \tilde{t}_0)$ of $\psi(t) + \varepsilon t$.

$$\Rightarrow \tilde{t}_0 = 0 \Rightarrow \psi(t) \geq -\varepsilon t \geq -\varepsilon T'$$

$$\Rightarrow \psi(t) \geq 0$$

$$\text{Hence } \psi(t) \equiv 0, \text{ i.e. } \varphi_1(t) \equiv \varphi_2(t).$$

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The maximum principle:

Let M be a closed mfd. Let $f = f(x, t)$ be a smooth fcn on $M \times [0, a]$ ($a > 0$). Then f achieves a global maximum (minimum) at some pt $(x_0, t_0) \in M \times [0, a]$. Then at (x_0, t_0) , we have

$$\nabla \partial f(x_0, t_0) \leq 0 \quad (\geq 0)$$

$$\text{and (i) if } t_0 = 0, \text{ then } \frac{\partial f}{\partial t}(x_0, t_0) \leq 0 \quad (\geq 0) \quad \left. \vphantom{\frac{\partial f}{\partial t}(x_0, t_0) \leq 0} \right\}$$

(2) if $t_0 \in (0, a)$, then $\frac{\partial}{\partial t} f(x_0, t_0) = 0$ ($= 0$) $\left. \begin{array}{l} \Rightarrow t_0 > 0 \Rightarrow \frac{\partial f}{\partial t}(x_0, t_0) > 0 \\ (t_0 > 0 \Rightarrow \frac{\partial f}{\partial t}(x_0, t_0) \leq 0) \end{array} \right\}$

(3) if $t_0 = a$, then $\frac{\partial}{\partial t} f(x_0, t_0) \geq 0$ (≤ 0)

Thm 3.5 (Short-time existence theorem, Hamilton)

Let (M^n, ω_0) be a closed Kähler mfd. Then $\exists \varepsilon > 0$ and a unique smooth soln. $\omega(t)$ of (KRF) defined on $[0, \varepsilon)$

Denote $T_{\max} := \sup \{ \varepsilon > 0 \mid \varepsilon \text{ in Thm 3.5} \}$

The main goal of this section is to prove

$$T_{\max} = T, \quad T := \sup \{ t_0 \mid [\omega_0] - t \cdot 2\pi c_1(M) > 0 \}$$

Easy to see $T_{\max} \leq T$.

It suffices to prove $T \leq T_{\max}$. We will prove for any $T' < T$,

if $T' > T_{\max}$, we will obtain a contradiction. ($\Rightarrow T' \leq T_{\max}$)

Thm 3.6 (Key Thm)

$\forall k \geq 0, \exists C_k = C(k, \omega_0, T_{\max}, T')$ s.t.

$$\|\varphi(t)\|_{C^k(M, g_0)} \leq C_k$$

and

$$\omega(t) \geq C_0^{-1} \omega_0$$

Given Thm 3.6, we will prove Thm 3.1.

$\forall k \geq 0, \|\varphi\|_{C^k} \leq C_k \xrightarrow{\text{Arzela-Ascoli}} \left\{ \begin{array}{l} \exists t_i \rightarrow T_{\max} \text{ s.t.} \\ \textcircled{1} \varphi(t_i) \rightarrow \varphi_{T_{\max}} \text{ in } C^\infty \text{ as } i \rightarrow \infty \\ \textcircled{2} \varphi_{T_{\max}} \text{ is smooth} \\ \textcircled{3} \omega_{T_{\max}} := \omega_0 + \int_0^{T_{\max}} \partial \bar{\partial} \varphi_{T_{\max}} \geq C_0^{-1} \omega_0 > 0 \end{array} \right.$

And we have

$$\boxed{\text{Lem 3.7: } |\dot{\varphi}| \leq C.}$$

By Lem 3.7, we have $\varphi(t) \rightarrow \varphi_{T_{\max}}$ in C^0 as $t \rightarrow T_{\max}$.

\exists soln.

$$w(t) \text{ s.t. } \begin{cases} \frac{\partial w(t)}{\partial t} = -\text{Ric}(w(t)) \\ w(T_{\max}) = w_{T_{\max}} \end{cases} \text{ on } t \in [T_{\max}, T_{\max} + \varepsilon)$$

$\Rightarrow w(t)$ ($t \in [0, T_{\max} + \varepsilon)$) is a soln. of

$$\text{KRF: } \begin{cases} \frac{\partial w(t)}{\partial t} = -\text{Ric}(w(t)) \\ w(0) = w_0 \end{cases}$$

Contradict with the definition of T_{\max} .

$$\Rightarrow T_{\max} \geq T'$$

$$\Rightarrow T_{\max} \geq T.$$

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Next, we will prove Thm 3.6 and Lem 3.7

• Estimate on φ and $\dot{\varphi}$

$$\Rightarrow \frac{\partial \varphi_{\max}}{\partial t} \leq \log \frac{\hat{w}_t^n}{v} = \sup_{M \times [0, T_{\max}]} \log \frac{\hat{w}_t^n}{v} =: A$$

$$\frac{\partial \varphi}{\partial t} = \log \frac{(\hat{w}_t + F \partial \bar{\partial} \varphi)^n}{v}$$
$$\frac{\partial \varphi_{\max}}{\partial t} \leq \log \frac{\hat{w}_t^n}{v}$$

$$\Rightarrow \frac{\partial}{\partial t} (\varphi_{\max} - At) \leq 0$$

$$\Rightarrow \varphi_{\max}(t) - \varphi(0) \leq At \leq AT_{\max}$$

$$\Rightarrow \varphi(x, t) \leq C.$$

Similarly, $\frac{\partial \varphi_{\min}}{\partial t} \geq \log \frac{\hat{\omega}_t^n}{\nu} \geq \inf_{M \times [0, T_{\max}]} \frac{\hat{\omega}_t^n}{\nu} =: B$

$$\Rightarrow \varphi(x, t) \geq Bt \geq -|B|T_{\max} \geq -C.$$

We get

Lem 3.8. $\exists C = C(\omega_0, T_{\max}) > 0$ s.t. on $M \times [0, T_{\max})$

$$|\varphi| \leq C.$$

Next, we prove Lem 3.7.

$$\begin{cases} \frac{\partial \varphi}{\partial t} = \log \frac{(\hat{\omega}_t + \Gamma \partial \bar{\partial} \varphi)^n}{\nu} \\ \varphi|_{t=0} = 0 \end{cases} \quad \begin{aligned} \hat{\omega}_t &= \omega_0 + t\chi \\ &= \frac{1}{T'}((T'-t)\omega_0 + t\eta) \end{aligned}$$

$$\Rightarrow \frac{\partial}{\partial t} \dot{\varphi} = \text{tr}_{\omega(t)} \left(\frac{\partial \hat{\omega}_t}{\partial t} + \Gamma \partial \bar{\partial} \dot{\varphi} \right)$$

$$= \Delta \dot{\varphi} + \text{tr}_{\omega(t)} \chi$$

$$\Rightarrow \left(\frac{\partial}{\partial t} - \Delta \right) \dot{\varphi} = \text{tr}_{\omega(t)} \chi = \text{tr}_{\omega(t)} \left(\frac{\omega(t) - \Gamma \partial \bar{\partial} \varphi - \omega_0}{t} \right)$$

$$= \frac{1}{t} (n - \Delta \varphi - \text{tr}_{\omega(t)} \omega_0)$$

$$\Rightarrow \left(\frac{\partial}{\partial t} - \Delta \right) (t \dot{\varphi}) = \dot{\varphi} + t \left(\frac{\partial}{\partial t} - \Delta \right) \dot{\varphi}$$

$$= \left(\frac{\partial}{\partial t} - \Delta \right) \varphi + n - \text{tr}_{\omega(t)} \omega_0$$

$$\Rightarrow \left(\frac{\partial}{\partial t} - \Delta \right) (t \dot{\varphi} - \varphi - nt) = -\text{tr}_{\omega(t)} \omega_0 < 0$$

$$\text{And } \left(\frac{\partial}{\partial t} - \Delta \right) ((T'-t) \dot{\varphi}(t) + \varphi(t) + nt) = \text{tr}_{\omega(t)} \hat{\omega}_T > 0$$

$$\Rightarrow [(T'-t)\dot{\varphi}(t) + \varphi(t) + nt]_{\min} \geq T'[\dot{\varphi}(0)]_{\min} \\ \geq T' \inf_{\mu} \log \frac{\omega_0^\mu}{\omega} \geq -C$$

Since $T'-t \geq T'-T_{\max} > 0$

$$\Rightarrow (\dot{\varphi})_{\min}(t) \geq -C$$

$$\Rightarrow \dot{\varphi} \geq -C$$

$$\cdot \frac{\partial \dot{\varphi}}{\partial t} = \frac{\partial}{\partial t} \log \frac{\omega^n(t)}{\omega} = \frac{\frac{\partial}{\partial t} \omega^n(t)}{\omega^n(t)}$$

$$= \frac{n \frac{\partial \omega}{\partial t} \wedge \omega^{n-1}(t)}{\omega^n(t)}$$

$$= \frac{-n \operatorname{Ric}(\omega(t)) \wedge \omega^{n-1}(t)}{\omega^n(t)} = -R(t).$$

Along RF, $R_{\min}(t) \geq R_{\min}(\omega) \geq -C$

$$\Rightarrow \frac{\partial}{\partial t} \dot{\varphi} \leq C$$

$$\Rightarrow \dot{\varphi} \leq C$$

$$\Rightarrow |\dot{\varphi}| \leq C \quad C = C(\omega_0, T_{\max}, T') \quad \#$$