

$$\Delta_d = (d + d^*)^2, \quad \Delta_\partial = \partial\bar{\partial} + \bar{\partial}\partial, \quad \Delta_{\bar{\partial}} = \bar{\partial}\partial^* + \partial^*\bar{\partial}$$

$$\Rightarrow \frac{1}{2} \Delta_d = \Delta_\partial = \Delta_{\bar{\partial}} \text{ for Kähler metric.}$$

$$\Delta_{\bar{\partial}} := \frac{1}{2} g^{i\bar{j}} (\nabla_i \nabla_{\bar{j}} + \nabla_{\bar{j}} \nabla_i)$$

$$\Delta f := g^{i\bar{j}} \partial_i \partial_{\bar{j}} f$$

$$\Rightarrow \begin{cases} n\omega^{n-1} \wedge \beta = g^{i\bar{j}} \beta_{i\bar{j}} \omega^n = (\text{tr}_\omega \beta) \cdot \omega^n \\ n\omega^{n-1} \wedge (\Gamma \partial f \wedge \bar{\partial} f) = |\partial f|_g^2 \omega^n \end{cases}$$

2022.12.20

2022.12.22

Defn (Ricci curvature)

$$R_{i\bar{j}} := g^{k\bar{l}} R_{i\bar{j}k\bar{l}} = g^{k\bar{l}} R_{k\bar{l}i\bar{j}}$$

Prop:  $R_{i\bar{j}} = -\partial_i \partial_{\bar{j}} \log \det g$

Pf: For an invertible matrix  $A$

$$\delta \det A = \text{trace}(A^{-1} \delta A) \det A$$

$$\left( \delta A = \frac{dA}{dt} \Big|_{t=0} \right)$$

$$\Rightarrow \delta \log A = \text{trace}(A^{-1} \delta A)$$

By defn,  $R_{i\bar{j}} = -g^{k\bar{l}} g_{p\bar{e}} \partial_{\bar{j}} \Gamma_{ik}^p = -\partial_{\bar{j}} \Gamma_{i\bar{p}}^p = -\partial_{\bar{j}} (g^{p\bar{q}} \partial_i g_{p\bar{q}})$

$$= -\partial_{\bar{j}} (\partial_i \log \det g)$$

$$= -\partial_i \partial_{\bar{j}} \log \det g.$$

#

Defn (Ricci form)

Ricci form of  $g$  is the  $(1,1)$  form

$$\text{Ric}(\omega) := \sqrt{-1} R_{i\bar{j}} dz^i \wedge d\bar{z}^{\bar{j}}$$

$$= -\sqrt{-1} \partial_i \partial_{\bar{j}} \log \det g \, dz^i \wedge d\bar{z}^{\bar{j}}$$

$$= -\sqrt{-1} \partial \bar{\partial} \log \det g \longleftrightarrow (1,1) \text{ form.}$$

$\because \partial_k R_{i\bar{j}} = \partial_i R_{k\bar{j}} \Rightarrow d \text{Ric}(\omega) = 0$  i.e.,  $\text{Ric}(\omega)$  is d-closed

We often write

$$\text{Ric}(\omega) = -\sqrt{-1} \partial \bar{\partial} \log \omega^n$$

$$(\omega^n = n! \det g \cdot dz_1 \wedge d\bar{z}_1 \wedge \dots \wedge dz_n \wedge d\bar{z}_n, (n,n)\text{-form})$$

If  $\Omega$  is any smooth volume form, locally written as

$$\Omega = a(z) \underbrace{(\sqrt{-1})^n dz^1 \wedge d\bar{z}^{\bar{1}} \wedge \dots \wedge dz^n \wedge d\bar{z}^{\bar{n}}}_{(dx^1 \wedge dy^1 \wedge \dots \wedge dx^n \wedge dy^n)}$$

then we define

$$\sqrt{-1} \partial \bar{\partial} \log \Omega := \sqrt{-1} \partial \bar{\partial} \log a.$$

Exercise:  $\sqrt{-1} \partial \bar{\partial} \log \Omega$  is well defined, independent of choice of local coord.

Example:  $(\mathbb{P}^n, \omega_{FS})$ , then  $\text{Ric}(\omega_{FS}) = (n+1) \omega_{FS}$

pf: In local coord,  $\omega_{FS} = \sqrt{-1} \partial \bar{\partial} \log(1+|z|^2)$

$$g_{i\bar{j}} = \partial_i \partial_{\bar{j}} \log(1+|z|^2) = \frac{1}{1+|z|^2} \left( \delta_{ij} - \frac{\bar{z}_i z_j}{1+|z|^2} \right)$$

Calculate at pt  $(z, 0, \dots, 0)$ , i.e,  $z^i = z$ ,  $z^i = 0$  ( $i \geq 2$ )

$$g_{i\bar{i}} = \frac{1}{(1+|z|^2)^2}, \quad g_{i\bar{i}} = \frac{1}{1+|z|^2} \quad (i \geq 2)$$

$$\Rightarrow \det g = \frac{1}{(1+|z|^2)^{n+1}} \Rightarrow \log \det(g) = -(n+1) \log(1+|z|^2)$$

$$\begin{aligned} \therefore \text{Ric}(W_{FS}) &= -\sqrt{-1} \partial \bar{\partial} \log \det g = (n+1) \sqrt{-1} \partial \bar{\partial} \log(1+|z|^2) \\ &= (n+1) W_{FS} \end{aligned}$$

In fact,

$$R_{i\bar{j}k\bar{l}} = g_{p\bar{e}} R_{i\bar{j}k\bar{l}}^p = -g_{p\bar{e}} \partial_{\bar{j}} \Gamma_{ik}^p = -g_{p\bar{e}} \partial_{\bar{j}} (g^{p\bar{q}} \partial_i g_{k\bar{q}})$$

$$= -g_{p\bar{e}} \partial_{\bar{j}} g^{p\bar{q}} \cdot \partial_i g_{k\bar{q}} - g_{p\bar{e}} g^{p\bar{q}} \partial_{\bar{j}} \partial_i g_{k\bar{q}}$$

$$= -\partial_i \partial_{\bar{j}} g_{k\bar{l}} + g^{p\bar{q}} \partial_i g_{k\bar{q}} \partial_{\bar{j}} g_{p\bar{e}} \quad \left( \begin{array}{l} \because \delta_e^i = g_{p\bar{e}} g^{p\bar{q}} \\ \therefore 0 = \partial_{\bar{j}} (g_{p\bar{e}} g^{p\bar{q}}) \end{array} \right)$$

In general,

$$R_{i\bar{j}k\bar{l}}(0) = - \frac{\partial^4 \log(1+|z|^2)}{\partial z^i \partial \bar{z}^j \partial z^k \partial \bar{z}^l} \Big|_{z=0}$$

$$\frac{\partial^2}{\partial z^k \partial \bar{z}^l} \log(1+|z|^2) = \frac{1}{(1+|z|^2)^2} \left( (1+|z|^2) \delta_{kl} - \bar{z}_k z_l \right)$$

$$\Rightarrow \partial_i \partial_{\bar{j}} \partial_k \partial_{\bar{l}} \log(1+|z|^2) \Big|_{z=0} = \partial_i \partial_{\bar{j}} \left( \frac{1}{(1+|z|^2)^2} \left( (1+|z|^2) \delta_{kl} - \bar{z}_k z_l \right) \right) \Big|_{z=0}$$

$$\begin{aligned}
&= \partial_i \partial_{\bar{j}} \left( \frac{1}{(1+|z|^2)^2} \right) \left( (1+|z|^2) \delta_{k\ell} - \bar{z}_k z_\ell \right) + \frac{1}{(1+|z|^2)^2} \partial_i \partial_{\bar{j}} \left( \begin{array}{c} (1+|z|^2) \delta_{k\ell} \\ -\bar{z}_k z_\ell \end{array} \right) \\
&= -2 \partial_i \partial_{\bar{j}} |z|^2 \cdot \delta_{k\ell} + (\delta_{ij} \delta_{k\ell} - \delta_{i\ell} \delta_{jk}) \\
&= -2 \delta_{ij} \cdot \delta_{k\ell} + (\delta_{ij} \delta_{k\ell} - \delta_{i\ell} \delta_{jk}) \\
&= -(\delta_{ij} \delta_{k\ell} + \delta_{i\ell} \delta_{jk})
\end{aligned}$$

$$\Rightarrow R_{i\bar{j}k\bar{\ell}}|_{z=0} = \delta_{ij} \delta_{k\ell} + \delta_{i\ell} \delta_{jk}$$

$$\Rightarrow \text{Bisectional curvature of } g = 1$$

$$\text{i.e., } \text{Bisec}(g) = 1.$$

## §2 The Kähler-Ricci flow and the Kähler cone.

### §2.1 Brief induction to the Ricci flow

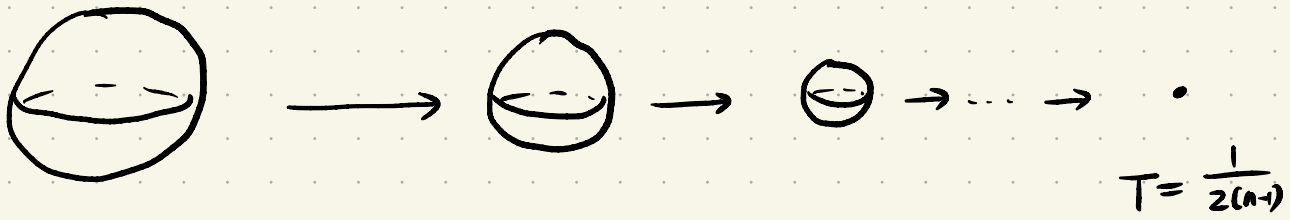
Assume  $(M, g_0)$  is a Riem. mfd. If  $\exists$  a smooth family of  $g(t)$  satisfying

$$\text{(RF)} \quad \begin{cases} \frac{\partial g(t)}{\partial t} = -2 \text{Ric}(g(t)) \\ g(0) = g_0 \end{cases}$$

1982', Hamilton

Example:  $S^n$ ,  $g_0$ : standard metric, i.e.  $\text{sec}(g_0) = 1$ .

$$g(t) = r^2(t) g_0, \quad r^2(t) = 1 - 2(n-1)t, \quad \text{singular time } T = \frac{1}{2(n-1)}$$



Normalized Ricci flow

$$(NRF) \begin{cases} \frac{\partial g(t)}{\partial t} = -2\text{Ric}(g(t)) + \frac{2r}{n} g(t), \\ g(0) = g_0 \end{cases}$$

$$\text{here } r = \frac{\int_M R(g(t)) dV_{g(t)}}{\text{Vol}_{g(t)}(M)}$$

Thm (Hamilton, 82', short-time existence and uniqueness)

If  $(M^n, g)$  is a closed smooth complete, Riem mfd, then  $\exists!$  smooth soln.  $g(t)$  to (RF) defined on some interval  $[0, \delta)$ ,  $\delta > 0$  w/  $g(0) = g$ .

- Hamilton's original pf relied on Nash-Moser inverse fn. thm.
- DeTurck provided a simplified pf by showing that Ricci flow is equivalent to a strictly parabolic system.

Fixed a background metric  $\hat{g}$ , and the Levi-Civita

Connection  $\tilde{\Gamma}$  w/  $\tilde{g}$ . Ricci-DeTurck flow

$$\begin{cases} \frac{\partial}{\partial t} g_{ij} = -2R_{ij} + \nabla_i W_j + \nabla_j W_i & \text{--- (2.1)} \\ g(0) = g_0 \end{cases}$$

$W = W(g)$  is defined by  $W_j := g_{jkl} g^{pq} (\Gamma_{pq}^k - \tilde{\Gamma}_{pq}^k)$

Assume  $\frac{\partial}{\partial s}|_{s=0} g_{ij} = v_{ij}$ ,  $V := g^{ij} v_{ij}$ , then

$$\frac{\partial}{\partial s} R_{ij} = -\frac{1}{2} (\Delta_L v_{ij} + \nabla_i \nabla_j V - \nabla_i (\operatorname{div} v)_j - \nabla_j (\operatorname{div} v)_i)$$

here  $\Delta_L v_{ij} := \Delta v_{ij} + 2R_{kijl} v_{kl} - R_{ik} v_{jk} - R_{jk} v_{ik}$ .

If we define  $X := \frac{1}{2} \nabla V - \operatorname{div}(v)$ . Then

$$\frac{\partial}{\partial s} (-2R_{ij}) = \Delta_L v_{ij} + \nabla_i X_j + \nabla_j X_i$$

On the other hand,

$$\frac{\partial}{\partial s}|_{s=0} W(g(s)) = -X_j + 0^{\text{th}} \text{ order terms in } v.$$

$$\Rightarrow \frac{\partial}{\partial t} v_{ij} = \Delta_L v_{ij} + \text{first order terms in } v. \quad \text{--- (2.2)}$$

Then the Ricci-DeTurck flow is strictly parabolic,

$\exists!$   $g(t)$  of (2.1) w/  $g(0) = g_0$ .

ODE at each pt in  $M$

$$\frac{\partial}{\partial t} \varphi_t = -W^* \quad \text{--- (2.3)}$$

w/  $\varphi_0 = \text{id}$ .

Here  $W^*(t)$  is the vector field dual to  $W(t)$  wrt  $g(t)$ .

Define  $\bar{g}(t) := \varphi_t^* g(t)$ , then  $\bar{g}(0) = g(0)$ , and

$$\frac{\partial}{\partial t} \bar{g}(t) = -2\text{Ric}(\bar{g}(t))$$

$\Rightarrow$  Short time existence of RF.

• Uniqueness of RF.

(2.3)  $\Leftrightarrow$  harmonic map heat flow

$$\frac{\partial}{\partial t} \varphi_t = \Delta_{\bar{g}(t)} \varphi_t \quad \text{--- (2.4)}$$

Here  $\bar{g}(t)$  is a soln. of RF, and  $\varphi_t: M \xrightarrow{\text{diff.}} M$

is a soln. of (2.4). Then

$$g(t) := (\varphi_t^{-1})^* \bar{g}(t)$$

$\Rightarrow$   $g(t)$  is a soln. of the Ricci-DeTurck flow.

Assume  $g_1(t), g_2(t)$  are soln. of RF w/  $g_1(0) = g_2(0) = g_0$ .  
then by the existence thm of the harmonic map heat  
flow,  $\exists \varphi_1(t), \varphi_2(t)$  of (2.4) w/  $g_1(t), g_2(t)$

$$\text{s.t. } \hat{g}_i(t) := (\varphi_i^{-1}(t))^* g_i(t)$$

are soln. of Ricci-DeTurck flow w/  $\hat{g}_1(0) = \hat{g}_2(0) = g_0$ .

By the uniqueness thm of the Ricci-DeTurck flow

$$\Rightarrow \hat{g}_1(t) = \hat{g}_2(t) =: \hat{g}(t)$$

$\Rightarrow \varphi_1(t), \varphi_2(t)$  are solns. of ODE (2.3)

$$\frac{d}{dt} \varphi_i(t) = -W(t) \circ \varphi_i(t) \quad \text{w/ } \varphi_i(0) = \text{id}$$

$$\text{where } W(t) := \hat{g}^{pq}(t) \left( \Gamma(\hat{g}(t))_{pq}^k - \Gamma(g_0)_{pq}^k \right)$$

By Uniqueness thm of ODE  $\Rightarrow \varphi_1(t) = \varphi_2(t)$

$$\Rightarrow g_1(t) = g_2(t).$$

This completes the proof of the uniqueness thm  
of RF. #



Note: For  $f \in C^\infty((M^n, g), (N^m, h))$ , we define the map Laplacian of  $f$  as

$$(\Delta_{g,h} f)^\gamma := g^{ij} \left( \frac{\partial^2 f^\gamma}{\partial x^i \partial x^j} - \Gamma_{ij}^k \frac{\partial f^\gamma}{\partial x^k} + (\Gamma(h)^\alpha_{\beta\gamma}) \frac{\partial f^\alpha}{\partial x^i} \frac{\partial f^\beta}{\partial x^j} \right) \quad (2.5)$$

• Some classical theorems for RF.

Thm 1 (Hamilton, 1982, JDG)

Let  $(M^3, g_0)$  be a closed mfd w/  $\text{Ric}(g_0) > 0$ . Then  $\exists ! g(t)$  of NRF w/  $g(0) = g_0$  for all  $t > 0$ . Furthermore, as  $t \rightarrow \infty$ ,

$$g(t) \xrightarrow[\text{exponentially}]{C^\infty} g_\infty, \quad \text{where } \text{sec}(g_\infty) \equiv \text{const.} > 0.$$

Thm 2 (Hamilton, 1986, JDG)

Thm 1 holds for closed mfd  $(M^4, g_0)$  w/  $\text{Rm}(g_0) > 0$ .

$$\Rightarrow M^4 \underset{\text{diff}}{\simeq} S^4 \quad \text{or} \quad \mathbb{R}P^4.$$

Thm 3 (Böhm - Wilking, 2008, Ann. Math.)

Same results hold for closed mfd  $(M^n, g_0)$  ( $n \geq 4$ ) and  $\text{Rm}(g_0)$  is two-positive.

Thm 4 (Brendle - Schoen, JAMS 2009)  $\frac{1}{4}$ -pinching differential sphere theorem.

Let  $(M^n, g)$  be a compact Riem. mfd which is strictly  $\frac{1}{4}$ -pinched

in the point sense. Then  $M \stackrel{\text{diff}}{=} S^3$  a spherical space form.

Thm 5 (Perelman, 2002-2003)

Poincaré conjecture is true. That is, if  $M^3$  is closed and  $\pi_1(M) = \{0\}$ , then  $M^3 \stackrel{\text{diff}}{=} S^3$ .

Thurston conjecture.

• Bamler

## §2.2 The Kähler-Ricci flow and simple examples

Let  $(M, \omega_0)$  be a cpt Kähler mfd. If  $\omega = \omega(t)$  is a smooth family of Kähler metrics on  $M$  satisfying the equation

$$(KRF) \quad \frac{\partial}{\partial t} \omega = -\text{Ric}(\omega), \quad \omega|_{t=0} = \omega_0 \quad \text{--- (2.6)}$$

then we say that  $\omega(t)$  is a soln. of the Kähler-Ricci flow starting at  $\omega_0$ .

Cao, Huai-Dong, 1985, provide a new proof of Calabi Conj.

Defn (Kähler-Einstein metric)

A Kähler metric  $\omega_{KE}$  is called a Kähler-Einstein metric (short for KE) if  $\exists \lambda \in \mathbb{R}$  s.t.

$$\text{Ric}(\omega_{KE}) = \lambda \omega_{KE}$$

After rescaling, we usually assume  $\lambda = 1, 0, \text{ or } -1$ .

[Fact:  $\forall \lambda \in \mathbb{R}, \text{ Ric}(\lambda g) = \text{ Ric}(g)$ ]

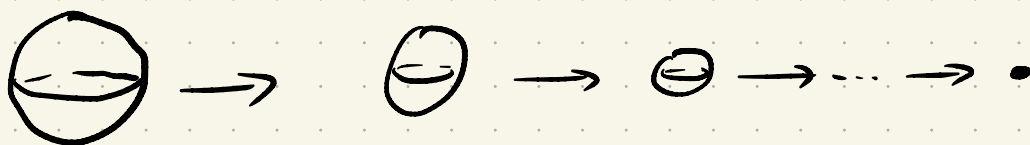
If  $\omega_0 = \omega_{KE}$ , set  $\omega(t) = (1-\lambda t)\omega_{KE} = (1-\lambda t)\omega_0$ .

$$\Rightarrow \frac{\partial \omega(t)}{\partial t} = -\text{Ric}(\omega(t)) \quad \text{w/ } \omega(0) = \omega_0.$$

$\Rightarrow$  Hence  $\omega(t)$  is a soln. of KRF.

(1) If  $\lambda=1$ ,  $\omega(t) = (1-t)\omega_0 \Rightarrow t \in [0, 1), T=1$

•  $n=1$ ,  $M = \mathbb{P}^1 = S^2$



(2) If  $\lambda=0$ ,  $\omega(t) = \omega_0$ ,  $t \rightarrow +\infty$  ( $T = +\infty$ )

(2) If  $\lambda < 0$ ,  $\omega(t) = (1+t)\omega_0$ ,  $t \rightarrow +\infty$  ( $T = +\infty$ )

$$\lim_{t \rightarrow +\infty} \frac{\omega(t)}{t} = \omega_0$$

• The Kähler cone and the first Chern class.

We define

$$H_{\bar{\partial}}^{1,1}(M, \mathbb{R}) = \frac{\{\bar{\partial}\text{-closed real (1,1) form}\}}{\text{Im } \bar{\partial}}$$

By Hodge theory,  $\dim H_{\bar{\partial}}^{1,1}(M, \mathbb{R}) < +\infty$ .

$\bar{\partial}\bar{\partial}$ -Lemma: If  $M$  is a cpt Kähler mfd, an exact real (1,1) form  $\alpha$  on  $M$ , that is  $\exists \eta$ , s.t.  $\alpha = \bar{\partial}\eta$ .

Then  $\exists \varphi \in C^\infty(M)$ , s.t.

$$\alpha = \sqrt{-1} \bar{\partial}\bar{\partial}\varphi.$$

By  $\bar{\partial}\bar{\partial}$ -Lemma,

$$H_{\bar{\partial}}^{1,1}(M, \mathbb{R}) = \frac{\{\bar{\partial}\text{-closed real (1,1) forms}\}}{\text{Im } \bar{\partial}} \dots \dots (2.7)$$

If  $[\omega] = [\omega'] \in H_{\mathbb{R}}^{1,1}(M, \mathbb{R})$ , then  $\exists \varphi \in C^\infty(M)$ , s.t.

$$\omega' = \omega + \sqrt{-1} \partial \bar{\partial} \varphi, \quad \varphi \text{ is unique up to a const.}$$

Defn (Kähler class)

$[\alpha] \in H_{\mathbb{R}}^{1,1}(M, \mathbb{R})$  is called a Kähler class, if  $\exists$  a Kähler metric  $\omega$  s.t.

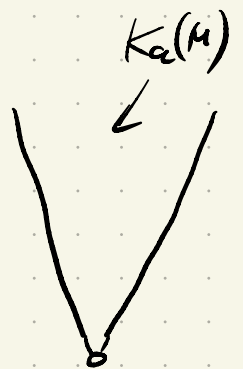
$$[\omega] = [\alpha]$$

write it as  $[\alpha] > 0$ .

- If  $-[\alpha] > 0$ , then we write it as  $[\alpha] < 0$ .

• Kähler cone of  $M$ .

$$K_a(M) := \{ [\alpha] \in H_{\mathbb{R}}^{1,1}(M, \mathbb{R}) \mid [\alpha] > 0 \}$$



Lemma 2.1:  $K_a(M)$  is an open, convex cone.

Pf. (1) Cone. If  $[\alpha] \in K_a(M)$ , then  $\forall \lambda > 0$ ,  $\lambda[\alpha] \in K_a(M)$ .

(2) Convex. If  $\omega_1, \omega_2$  is Kähler, then  $\forall \lambda \in (0, 1)$ ,  $\lambda\omega_1 + (1-\lambda)\omega_2$  is Kähler.

(3) Open. Assume  $\{[\alpha_1], \dots, [\alpha_n]\}$  is a basis of  $H_{\mathbb{R}}^{1,1}(M, \mathbb{R})$

Assume  $[\alpha] \in K_a(M)$ , then  $\exists \lambda_1, \dots, \lambda_n \in \mathbb{R}$ , s.t.

$$[\alpha] = \sum_{i=1}^n \lambda_i [\alpha_i] = \left[ \sum_{i=1}^n \lambda_i \alpha_i \right]$$

$\therefore \exists \varphi \in C^\infty(M)$  s.t. 
$$\sum_{i=1}^n \lambda_i \alpha_i + \sqrt{-1} \partial \bar{\partial} \varphi > 0$$

Since  $M$  is cpt,  $\forall \tilde{\lambda}_i$  sufficiently close to  $\lambda_i$ , we have

$$\sum_{i=1}^N \tilde{\lambda}_i \alpha_i + \Gamma \partial \bar{\varphi} > 0$$

$$\Rightarrow \sum_{i=1}^N \tilde{\lambda}_i [\alpha_i] \in K_a(M)$$

i.e.,  $\exists$  neighborhood  $U$  of  $[\alpha]$  s.t.  $U \subset K_a(M)$

$\Rightarrow K_a(M)$  is open.

#.