

# Pseudodifferential operators and complex powers of elliptic operators on noncommutative tori

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# Part 1. Pseudodifferential Calculus on Noncommutative Tori

# Noncommutative Tori

- $\theta = (\theta_{jl})$ , anti-symmetric real  $n \times n$  matrix ( $n \geq 2$ ).

## Definition

$A_\theta = C^*$ -algebra generated by the unitaries  $U_1, \dots, U_n$  obeying the relation:

$$U_k U_j = e^{2i\pi\theta_{jk}} U_j U_k, \quad j, k = 1, \dots, n.$$

Notation:  $U^k := U_1^{k_1} \cdots U_n^{k_n}$ ,  $k = (k_1, \dots, k_n) \in \mathbb{Z}^n$ .

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- There is a tracial state  $\tau : A_\theta \rightarrow \mathbb{C}$  such that  $\tau(1) = 1$  and  $\tau(U^k) = 0$  for  $0 \neq k \in \mathbb{Z}^n$ .
- $\mathcal{H}_\theta :=$  the completion of  $A_\theta$  w.r.t. the inner product,

$$(u|v) := \tau(uv^*).$$

- $u = \sum_{k \in \mathbb{Z}^n} u_k U^k$ ,  $u_k = (u|U^k)$ , the Fourier series of  $u \in \mathcal{H}_\theta$ .

# Noncommutative Tori

- There is a continuous action of  $\mathbb{R}^n$  on  $A_\theta$  such that

$$\alpha_s(U^k) = e^{is \cdot k} U^k, \quad s \in \mathbb{R}^n, k \in \mathbb{Z}^n.$$

## Smooth noncommutative torus

$$\begin{aligned} \mathcal{A}_\theta &:= \{u \in A_\theta; s \rightarrow \alpha_s(u) \text{ is a smooth map from } \mathbb{R}^n \text{ to } A_\theta\} \\ &= \{u = \sum u_k U^k \in A_\theta; (u_k)_{k \in \mathbb{Z}^n} \text{ decays rapidly}\}. \end{aligned}$$

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- For  $j = 1, \dots, n$  define  $\delta_j : \mathcal{A}_\theta \rightarrow \mathcal{A}_\theta$  by

$$\delta_j(U^k) = -i \partial_{s_j} \alpha_s(U^k)|_{s=0} = k_j U^k, \quad k = (k_1, \dots, k_n) \in \mathbb{Z}^n.$$

Then  $\delta_j$  is a derivation on  $\mathcal{A}_\theta$ , i.e.,  $\delta_j(uv) = \delta_j(u)v + u\delta_j(v)$  for all  $u, v \in \mathcal{A}_\theta$ .

# Noncommutative Tori

- We equip  $\mathcal{A}_\theta$  with the locally convex topology generated by the semi-norms,

$$u \longrightarrow \|\delta^\alpha u\|, \quad \alpha \in \mathbb{N}_0^n.$$

$\mathcal{A}_\theta$  is a Fréchet  $*$ -algebra with respect to this topology.



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NC Torus	Ordinary Torus ( $\theta = 0$ )
$A_\theta$	$C(\mathbb{T}^n)$
$\mathcal{A}_\theta$	$C^\infty(\mathbb{T}^n)$
$U_j$ ( $j = 1, \dots, n$ )	the function $x \rightarrow e^{ix_j}$
$U^k$ ( $k \in \mathbb{Z}^n$ )	the function $x \rightarrow e^{ix \cdot k}$
$\delta_j$ ( $j = 1, \dots, n$ )	$D_{x_j} := -i\partial_{x_j}$
$\delta^\alpha := \delta_1^{\alpha_1} \dots \delta_n^{\alpha_n}$ ( $\alpha \in \mathbb{N}_0^n$ )	$D_x^\alpha := D_{x_1}^{\alpha_1} \dots D_{x_n}^{\alpha_n}$
the trace $\tau$	the integral on $\mathbb{T}^n$
$\mathcal{H}_\theta$	$L^2(\mathbb{T}^n)$

## Definition (Connes)

A **differential operator** of order  $m$  on  $\mathcal{A}_\theta$  is a linear operator  $P : \mathcal{A}_\theta \rightarrow \mathcal{A}_\theta$  of the form,

$$Pu = \sum_{|\alpha| \leq m} a_\alpha \delta^\alpha u, \quad a_\alpha \in \mathcal{A}_\theta.$$

The **symbol of  $P$**  is the map  $\rho : \mathbb{R}^n \rightarrow \mathcal{A}_\theta$  defined by  $\rho(\xi) = \sum_{|\alpha| \leq m} a_\alpha \xi^\alpha$ ,  $\xi \in \mathbb{R}^n$ .

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- Example 1: The (flat) Laplacian  $\Delta := \delta_1^2 + \cdots + \delta_n^2$ .
- Example 2: (Connes-Tretkoff) Conformally deformed Laplacian  $\Delta_k := k^{-1} \Delta k^{-1}$  on a noncommutative 2-torus  $\mathcal{A}_\theta$ . Here  $k \in \mathcal{A}_\theta$  is positive and invertible.
- Using the Fourier inversion formula we see that

$$Pu = \iint e^{is \cdot \xi} \rho(\xi) \alpha_{-s}(u) ds d\xi \quad \text{for all } u \in \mathcal{A}_\theta.$$

# Motivation

- We can get much geometric information about a compact Riemannian manifold  $(M, g)$  from the zeta function  $\zeta(s) := \text{Tr}(\Delta_g^{-s})$ , where  $\Delta_g$  is the Laplacian associated with  $g$ .
- e.g.,  $M = \text{compact Riemann surface} \Rightarrow \zeta(0) = \frac{1}{6}\chi(M) - \dim \ker \Delta_g$ .

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- In 2009, Connes-Tretkoff proved the following version of Gauss-Bonnet theorem for a noncommutative 2-torus  $\mathcal{A}_\theta$ : the value of the zeta function  $\zeta(s; \Delta_k) := \text{Tr}(\Delta_k^{-s})$  at  $s = 0$  is independent of the choice of positive and invertible element  $k \in \mathcal{A}_\theta$ .

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- The following tools play a central role in Connes-Tretkoff's paper and its subsequent results.
  - Pseudodifferential operators ( $\Psi$ DOs) on noncommutative tori.
  - Parametric  $\Psi$ DOs on noncommutative tori.
  - Holomorphic families of  $\Psi$ DOs.
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  - Complex powers of an elliptic  $\Psi$ DO.
- The aim of this mini-course is to give detailed accounts on these notions as an aid in researches initiated by Connes-Tretkoff.

# Symbols on Noncommutative Tori

- (Standard symbols, Baaj, Connes)  $S^m(\mathbb{R}^n; \mathcal{A}_\theta)$ ,  $m \in \mathbb{R}$ , consists of maps  $\rho(\xi) \in C^\infty(\mathbb{R}^n; \mathcal{A}_\theta)$  such that,  $\forall \alpha, \beta \in \mathbb{N}_0^n$ ,  $\exists C_{\alpha\beta} > 0$  such that

$$\|\delta^\alpha \partial_\xi^\beta \rho(\xi)\| \leq C_{\alpha\beta} (1 + |\xi|)^{m - |\beta|} \quad \forall \xi \in \mathbb{R}^n.$$



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- (Homogeneous symbols)  $S_q(\mathbb{R}^n; \mathcal{A}_\theta)$ ,  $q \in \mathbb{C}$ , consists of smooth maps  $\rho : \mathbb{R}^n \setminus 0 \rightarrow \mathcal{A}_\theta$  such that  $\rho(\lambda\xi) = \lambda^q \rho(\xi) \quad \forall \xi \in \mathbb{R}^n \setminus 0 \quad \forall \lambda > 0$ .

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- (Classical Symbols, Baaj)  $S^q(\mathbb{R}^n; \mathcal{A}_\theta)$ ,  $q \in \mathbb{C}$ , consists of maps  $\rho(\xi) \in C^\infty(\mathbb{R}^n; \mathcal{A}_\theta)$  that admit an expansion  $\rho(\xi) \sim \sum_{j \geq 0} \rho_{q-j}(\xi)$ ,  $\rho_{q-j}(\xi) \in S_{q-j}(\mathbb{R}^n; \mathcal{A}_\theta)$ . Here  $\sim$  means that,  $\forall N \geq 1 \quad \forall \alpha, \beta \in \mathbb{N}_0^n$ ,  $\exists C_{N\alpha\beta} > 0$  such that

$$\left\| \delta^\alpha \partial_\xi^\beta \left( \rho - \sum_{j < N} \rho_{q-j} \right) (\xi) \right\| \leq C_{N\alpha\beta} |\xi|^{\Re q - N - |\beta|} \quad \forall \xi \in \mathbb{R}^n \text{ with } |\xi| \geq 1.$$

In this case  $\rho_q(\xi)$  is called the **principal symbol** of  $\rho(\xi)$ .

- We have an inclusion  $S^q(\mathbb{R}^n; \mathcal{A}_\theta) \subset S^{\Re q}(\mathbb{R}^n; \mathcal{A}_\theta)$ .

# Amplitudes and Oscillating Integrals

Let  $m \in \mathbb{R}$ .

## $\mathcal{A}_\theta$ -valued Amplitudes

$A^m = A^m(\mathbb{R}^n \times \mathbb{R}^n; \mathcal{A}_\theta)$  consists of maps  $a(s, \xi) \in C^\infty(\mathbb{R}^n \times \mathbb{R}^n; \mathcal{A}_\theta)$  such that, for all  $\alpha, \beta, \gamma \in \mathbb{N}_0^n$ , there is  $C_{\alpha\beta\gamma} > 0$  such that

$$\|\delta^\alpha \partial_s^\beta \partial_\xi^\gamma a(s, \xi)\| \leq C_{\alpha\beta\gamma} (1 + |s| + |\xi|)^m \quad \forall (s, \xi) \in \mathbb{R}^n \times \mathbb{R}^n.$$

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- Let  $\chi(s, \xi) \in C_c^\infty(\mathbb{R}^n \times \mathbb{R}^n)$  be such that  $\chi(s, \xi) = 1$  near  $(0, 0)$ . Set

$$L = \chi(s, \xi) + \frac{1 - \chi(s, \xi)}{|s|^2 + |\xi|^2} \sum_{1 \leq j \leq n} (\xi_j D_{s_j} + s_j D_{\xi_j}),$$

where  $D_{x_j} = \frac{1}{i} \partial_{x_j}$ .

- Observe that  $L(e^{is \cdot \xi}) = e^{is \cdot \xi}$ .
- Define the transpose of  $L$  by  $\iint L(f)g = \iint f L^t(g)$ .  
 $\Rightarrow L^t$  gives rise to a continuous linear map from  $A^m$  to  $A^{m-1}$ .

# Amplitudes and Oscillating Integrals

Let  $a(s, \xi) \in A^m(\mathbb{R}^n \times \mathbb{R}^n; \mathcal{A}_\theta)$ .

- If  $m < -2n$ , the map  $(s, \xi) \rightarrow e^{is \cdot \xi} a(s, \xi)$  is integrable on  $\mathbb{R}^n \times \mathbb{R}^n$ .  
Moreover, for all  $N \geq 0$ , we have

$$\begin{aligned} \iint e^{is \cdot \xi} a(s, \xi) ds d\xi &= \iint L^N[e^{is \cdot \xi}] a(s, \xi) ds d\xi \\ &= \iint e^{is \cdot \xi} (L^t)^N[a(s, \xi)] ds d\xi. \end{aligned}$$

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- (Oscillating integrals) For general  $m \in \mathbb{R}$ , we define

$$J(a) = \iint e^{is \cdot \xi} (L^t)^N[a(s, \xi)] ds d\xi,$$

where  $N$  is any non-negative integer such that  $m - N < -2n$ . Here the choice of  $N$  is irrelevant. When  $m < -2n$  we may take  $N = 0$ . In this case we have  $J(a) = \iint e^{is \cdot \xi} a(s, \xi) ds d\xi$ .

# $\Psi$ DOs on NC Tori

If  $\rho(\xi) \in \mathbb{S}^m(\mathbb{R}^n; \mathcal{A}_\theta)$  and  $u \in \mathcal{A}_\theta$ , then  $\rho(\xi)\alpha_{-s}(u) \in A^{m+}(\mathbb{R}^n \times \mathbb{R}^n; \mathcal{A}_\theta)$ , where  $m_+ := \max(m, 0)$ .

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## Definition

- 1 A **pseudodifferential operator ( $\Psi$ DO)** associated with  $\rho(\xi) \in S^m(\mathbb{R}^n; \mathcal{A}_\theta)$ ,  $m \in \mathbb{R}$ , is a linear map  $P_\rho : \mathcal{A}_\theta \rightarrow \mathcal{A}_\theta$  defined by

$$P_\rho u = J(\rho(\xi)\alpha_{-s}(u)).$$

- 2  $\Psi^q(\mathcal{A}_\theta)$ ,  $q \in \mathbb{C}$ , consists of all linear operators  $P : \mathcal{A}_\theta \rightarrow \mathcal{A}_\theta$  that are of the form  $P = P_\rho$  for some symbol  $\rho(\xi) \in S^q(\mathbb{R}^n; \mathcal{A}_\theta)$ .



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- (Connes-Tretkoff) Let  $\rho(\xi) \in S^m(\mathbb{R}^n; \mathcal{A}_\theta)$ ,  $m \in \mathbb{R}$ . Then for every  $u = \sum_{k \in \mathbb{Z}^n} u_k U^k \in \mathcal{A}_\theta$ , we have

$$P_\rho u = \sum_{k \in \mathbb{Z}^n} u_k \rho(k) U^k.$$

# Smoothing Operators

$\mathcal{A}'_\theta := \{v : \mathcal{A}_\theta \rightarrow \mathbb{C} : v \text{ is continuous and linear}\}$ .

We equip  $\mathcal{A}'_\theta$  with its strong topology, i.e., the LCS topology generated by the semi-norms,

$$v \longrightarrow \sup_{u \in B} |\langle v, u \rangle|, \quad B \subset \mathcal{A}_\theta \text{ bounded.}$$

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We have continuous inclusions  $\mathcal{A}_\theta \subset \mathcal{H}_\theta \subset \mathcal{A}'_\theta$ .

- A linear operator  $R : \mathcal{A}_\theta \rightarrow \mathcal{A}'_\theta$  is called **smoothing** when it extends to a continuous linear operator  $R : \mathcal{A}'_\theta \rightarrow \mathcal{A}_\theta$ .
- $\Psi^{-\infty}(\mathcal{A}_\theta) :=$  the space of smoothing operators.

## Proposition (Ha-L.-Ponge)

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## Proposition (Ha-L.-Ponge)

- A linear operator  $R : \mathcal{A}_\theta \rightarrow \mathcal{A}'_\theta$  is smoothing if and only if there is a symbol  $\rho(\xi) \in \mathcal{S}(\mathbb{R}^n; \mathcal{A}_\theta)$  such that  $R = P_\rho$ .
- Every  $\Psi$ DO  $P : \mathcal{A}_\theta \rightarrow \mathcal{A}_\theta$  uniquely extends to a continuous linear map  $P : \mathcal{A}'_\theta \rightarrow \mathcal{A}'_\theta$ .

# Composition of $\Psi$ DOs

Let  $\rho_j(\xi) \in \mathcal{S}^{m_j}(\mathbb{R}^n; \mathcal{A}_\theta)$ ,  $m_j \in \mathbb{R}$ ,  $j = 1, 2$ . Set

$$\rho_1 \sharp \rho_2(\xi) = \iint e^{it \cdot \eta} \rho_1(\xi + \eta) \alpha_{-t}[\rho_2(\xi)] dt d\eta.$$

The integral on the right-hand side makes sense as an oscillating integral. It can be shown that  $\rho_1 \sharp \rho_2(\xi) \in \mathcal{S}^{m_1+m_2}(\mathbb{R}^n; \mathcal{A}_\theta)$  and  $P_{\rho_1} P_{\rho_2} = P_{\rho_1 \sharp \rho_2}$ .

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## Proposition

Let  $P_j \in \Psi^{q_j}(\mathcal{A}_\theta)$ ,  $q_j \in \mathbb{C}$ ,  $j = 1, 2$ . In addition, let  $\rho(\xi)$  and  $\sigma(\xi)$  be the respective principal symbols of  $P_1$  and  $P_2$ . Then

- 1  $P_1 P_2 \in \Psi^{q_1+q_2}(\mathcal{A}_\theta)$ .
- 2  $\rho(\xi)\sigma(\xi)$  is the principal symbol of  $P_1 P_2$ .

# Sobolev Spaces on NC Tori

Let  $s \in \mathbb{R}$ . Then  $\Lambda^s := (1 + \Delta)^{\frac{s}{2}} \in \Psi^s(\mathcal{A}_\theta)$  and  $\Lambda^s$  has symbol  $(1 + |\xi|^2)^{\frac{s}{2}}$ .

## Definition (Sobolev Spaces)

$$\mathcal{H}_\theta^{(s)} := \{u \in \mathcal{A}'_\theta; \Lambda^s u \in \mathcal{H}_\theta\}.$$

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$$\mathcal{H}_\theta^{(s)} := \{u \in \mathcal{A}'_\theta; \Lambda^s u \in \mathcal{H}_\theta\}.$$

- $\mathcal{H}_\theta^{(s)}$  is a Hilbert space with the inner product,

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# Sobolev Spaces on NC Tori

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- Let  $\rho(\xi) \in \mathbb{S}^m(\mathbb{R}^n; \mathcal{A}_\theta)$ ,  $m \in \mathbb{R}$ . For every  $s \in \mathbb{R}$ ,  $P_\rho$  uniquely extends to a continuous linear map  $P_\rho : \mathcal{H}_\theta^{(s+m)} \rightarrow \mathcal{H}_\theta^{(s)}$ . In particular, if  $m = 0$  then  $P_\rho$  gives rise to a bounded operator on  $\mathcal{H}_\theta$ .
- (Baaj, Connes) If  $m < 0$ , then  $P_\rho$  gives rise to a compact operator  $P_\rho : \mathcal{H}_\theta \rightarrow \mathcal{H}_\theta$ .

# Trace-Class Property of $\Psi$ DOs

- 1  $\Delta = \delta_1^2 + \cdots + \delta_n^2$  is isospectral to the flat Laplacian on  $\mathbb{T}^n$ .
- 2 Weyl's law:  $\lambda_k(\Delta)(ck^{-1})^{\frac{2}{n}} \rightarrow 1$  as  $k \rightarrow \infty$ . Here  $c := \pi^{\frac{n}{2}} \Gamma(\frac{n}{2} + 1)^{-1}$ .

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Let  $m < -n$ . Then for every  $\rho(\xi) \in \mathcal{S}^m(\mathbb{R}^n; \mathcal{A}_\theta)$ ,  $P_\rho$  is trace-class, and its trace is given by

$$\text{Tr}(P_\rho) = \sum_{k \in \mathbb{Z}^n} \tau[\rho(k)].$$

## Part 2. Resolvents and Complex Powers of Elliptic $\Psi$ DOs on NC Tori

## Definition

$P \in \Psi^q(\mathcal{A}_\theta)$ ,  $q \in \mathbb{C}$ , is called **elliptic** when its principal symbol  $\rho_q(\xi)$  is invertible for all  $\xi \in \mathbb{R}^n \setminus 0$ .

- Example 1: The (flat) Laplacian  $\Delta := \delta_1^2 + \cdots + \delta_n^2$ .
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$P \in \Psi^q(\mathcal{A}_\theta)$ ,  $q \in \mathbb{C}$ , is elliptic if and only if it admits a parametrix, i.e., an operator  $Q \in \Psi^{-q}(\mathcal{A}_\theta)$  such that  $PQ = QP = 1 \bmod \Psi^{-\infty}(\mathcal{A}_\theta)$ .

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## Consequences

- 1 Let  $s \in \mathbb{R}$ . Then, for any  $u \in \mathcal{A}'_\theta$ ,  $Pu \in \mathcal{H}_\theta^{(s)} \Leftrightarrow u \in \mathcal{H}_\theta^{(s+\Re q)}$ .
- 2 For every  $s \in \mathbb{R}$ ,  $P : \mathcal{H}_\theta^{(s+m)} \rightarrow \mathcal{H}_\theta^{(s)}$  is a Fredholm operator.

# Spectra and Partial Inverses of Elliptic $\Psi$ DOs

Let  $P \in \Psi^q(\mathcal{A}_\theta)$  be an elliptic  $\Psi$ DO with  $m := \Re q > 0$ .

- The **resolvent set** of  $P := \{\lambda \in \mathbb{C}; P - \lambda : \mathcal{H}_\theta^{(m)} \rightarrow \mathcal{H}_\theta \text{ is bijective}\}$ .
- **$Sp P$**  := the complement of the resolvent set.

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## Proposition (Ha-L.-Ponge)

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Suppose  $\text{Sp } P \neq \mathbb{C}$ . Then the map

$\mathbb{C} \setminus \text{Sp } P \ni \lambda \rightarrow (P - \lambda)^{-1} \in \mathcal{L}(\mathcal{H}_\theta, \mathcal{H}_\theta^{(m)})$  is holomorphic. The **root space**  $E_\lambda(P)$  and **Riesz projection**  $\Pi_\lambda(P)$  of  $\lambda \in \text{Sp } P$  are defined by

$$E_\lambda(P) = \bigcup_{\ell \geq 0} \ker(P - \lambda)^\ell, \quad \Pi_\lambda(P) = \frac{1}{2i\pi} \int_{|\zeta - \lambda| = r} (\zeta - P)^{-1} d\zeta.$$

Here  $r$  is small enough so that  $\{\zeta \in \mathbb{C}; |\zeta - \lambda| \leq r\} \cap \text{Sp } P = \{\lambda\}$ .

# Spectra and Partial Inverses of Elliptic $\Psi$ DOs

- $\Pi_\lambda(P)^2 = \Pi_\lambda(P)$  and  $\Pi_\lambda(P)\Pi_\mu(P) = 0$  if  $\lambda \neq \mu$ .
- $E_\lambda(P)$  is a finite dimensional subspace of  $\mathcal{A}_\theta$ . In particular, there is  $N \in \mathbb{N}$  such that  $E_\lambda(P) = \ker(P - \lambda)^N$ .

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- $\Pi_\lambda(P)$  is a projection onto  $E_\lambda(P)$  with kernel  $E_{-\lambda}(P^*)^\perp$ . In particular, we have direct-sum decomposition  $\mathcal{H}_\theta = E_\lambda(P) \dot{+} E_{-\lambda}(P^*)^\perp$ .
- $\Pi_\lambda(P)$  is a smoothing operator.
- $P$  induces a linear homeomorphism  $P_1 : E_0(P^*)^\perp \cap \mathcal{H}_\theta^{(m)} \rightarrow E_0(P^*)^\perp$ .

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## Definition

The partial inverse of  $P$  is the operator  $P^{-1} : \mathcal{H}_\theta \rightarrow \mathcal{H}_\theta^{(m)}$  defined by

- $P^{-1} = 0$  on  $E_0(P)$ .
- $P^{-1}u = P_1^{-1}u$  for all  $u \in E_0(P^*)^\perp$ .

We have  $PP^{-1} = 1 - \Pi_0(P)$  on  $\mathcal{H}_\theta$  and  $P^{-1}P = 1 - \Pi_0(P)$  on  $\mathcal{H}_\theta^{(m)}$ .



# Pseudo-cones and Vectors with Parameter

- In what follows, we let  $\Lambda \subset \mathbb{C}$  be an **open pseudo-cone**, i.e.,  $\Lambda$  is of the form  $\Lambda = \Theta \setminus D$ . Here  $\Theta$  is an open cone in  $\mathbb{C} \setminus 0$  about the negative real axis and  $D$  is the closed disk at the origin.
- For open pseudo-cones  $\Lambda_1$  and  $\Lambda_2$ , we denote by  $\Lambda_1 \subset\subset \Lambda_2$  if  $\overline{\Lambda_1} \subset \Lambda_2$ .

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## Definition

Let  $E$  be a locally convex space.  $\text{Hol}^d(\Lambda, E)$ ,  $d \in \mathbb{Z}$ , consists of holomorphic families  $(x(\lambda))_{\lambda \in \Lambda}$  with values in  $E$  such that, for all continuous semi-norm  $p$  on  $E$  and pseudo-cones  $\Lambda' \subset\subset \Lambda$ , there is  $C_{p\Lambda'} > 0$  such that

$$p(x(\lambda)) \leq C_{p\Lambda'}(1 + |\lambda|)^d \quad \forall \lambda \in \Lambda'.$$

# $\Psi$ DOs with Parameter

In what follows, let  $w > 0$ ,  $q \in \mathbb{C}$ ,  $d \in \mathbb{Z}$ . Set  $d_- = \sup(0, -d)$  and  $m = \Re q + wd_-$ .

- Notation:  $C^{\infty, d}(\mathbb{R}^n \times \Lambda; \mathcal{A}_\theta) := \text{Hol}^d(\Lambda, C^\infty(\mathbb{R}^n; \mathcal{A}_\theta))$ .

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## Definition (Classical Symbols with Parameter)

$S^{q, d}(\mathbb{R}^n \times \Lambda; \mathcal{A}_\theta)$  consists of maps  $\rho(\xi; \lambda) \in C^{\infty, d}(\mathbb{R}^n \times \Lambda; \mathcal{A}_\theta)$  for which there are maps  $\rho_{q-j} : (\mathbb{R}^n \setminus 0) \times \Theta \rightarrow \mathcal{A}_\theta$ ,  $j \geq 0$ , such that

- $\rho_{q-j}(t\xi; t^w \lambda) = t^{q-j} \rho_{q-j}(\xi; \lambda) \quad \forall t > 0 \quad \forall (\xi, \lambda) \in (\mathbb{R}^n \setminus 0) \times \Theta$ .
- $\rho_{q-j}(\xi; \lambda)$  is smooth w.r.t.  $\xi$  and holomorphic w.r.t.  $\lambda$ .
- $\forall N \in \mathbb{N} \quad \forall \Lambda' \subset\subset \Lambda$  and  $\forall \alpha, \beta \in \mathbb{N}_0^n$ ,  $\exists C_{N\Lambda'\alpha\beta} > 0$  such that, for all  $\lambda \in \Lambda'$  and  $\xi \in \mathbb{R}^n$  with  $|\xi| \geq 1$ , we have

$$\left\| \delta^\alpha \partial_\xi^\beta \left( \rho - \sum_{j < N} \rho_{q-j} \right) (\xi; \lambda) \right\| \leq C_{N\Lambda'\alpha\beta} (1 + |\lambda|)^d |\xi|^{m-N-|\beta|}.$$

## Definition ( $\Psi$ DOs with Parameter)

$$\Psi^{q,d}(\mathcal{A}_\theta; \Lambda) := \{(P_{\rho(\cdot; \lambda)})_{\lambda \in \Lambda}; \rho(\xi; \lambda) \in S^{q,d}(\mathbb{R}^n \times \Lambda; \mathcal{A}_\theta)\}.$$

# $\Psi$ DOs with Parameter and the Agmon Pseudo-cone

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## Proposition (L.-Ponge)

$$P_j(\lambda) \in \Psi^{q_j, d_j}(\mathcal{A}_\theta; \Lambda) \Rightarrow P_1(\lambda)P_2(\lambda) \in \Psi^{q_1+q_2, d_1+d_2}(\mathcal{A}_\theta; \Lambda).$$

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- $r := \inf\{\lambda \in \mathbb{C}; \lambda \in \text{Sp } P, \lambda \neq 0\}.$
- $\Lambda(P) := \check{\Theta}(P) \setminus \overline{D(0, R)}$ , where  $R := \frac{1}{2}r$ .

# The Resolvent of an Elliptic $\Psi$ DO

- Let  $P$  be an elliptic differential operator of order  $m$  with principal symbol  $\rho_m(\xi)$ .
- $P - \lambda \in \Psi^{m,1}(\mathcal{A}_\theta; \Lambda(P))$  and  $P - \lambda$  has principal symbol  $\rho_m(\xi) - \lambda$ .

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Moreover, the following holds.

## Proposition (L.-Ponge)

$(P - \lambda)^{-1} \in \Psi^{-m,-1}(\mathcal{A}_\theta; \Lambda(P))$ . Moreover  $(P - \lambda)^{-1}$  has principal symbol  $(\rho_m(\xi) - \lambda)^{-1}$ .

# Holomorphic Families of $\Psi$ DOs

Let  $\Omega$  be an open subset of  $\mathbb{C}$ .

## Definition (Fathi-Ghorbanpour-Khalkhali)

$(\rho(z)(\xi))_{z \in \Omega} \subset S^*(\mathbb{R}^n; \mathcal{A}_\theta)$  is said to be a **holomorphic family** when:

- 1 The order  $w(z)$  of  $\rho(z)(\xi)$  depends analytically on  $z$ .
- 2  $z \rightarrow \rho(z)(\xi)$  is a holomorphic map from  $\Omega$  to  $C^\infty(\mathbb{R}^n; \mathcal{A}_\theta)$ .
- 3  $\rho(z)(\xi) \sim \sum_{j \geq 0} \rho(z)_{w(z)-j}(\xi)$ ,  $\rho(z)_{w(z)-j}(\xi) \in S_{w(z)-j}(\mathbb{R}^n; \mathcal{A}_\theta)$ .

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## Definition (Holomorphic Families of $\Psi$ DOs)

$\text{Hol}(\Omega, \Psi^*(\mathcal{A}_\theta)) := \{(P_{\rho(z)})_{z \in \Omega}; (\rho(z)(\xi))_{z \in \Omega} \in \text{Hol}(\Omega, S^*(\mathbb{R}^n; \mathcal{A}_\theta))\}$ .

- $P(z), Q(z) \in \text{Hol}(\Omega, \Psi^*(\mathcal{A}_\theta)) \Rightarrow P(z)Q(z) \in \text{Hol}(\Omega, \Psi^*(\mathcal{A}_\theta))$ .

# Complex Powers of an Elliptic $\Psi$ DO

- Let  $\Gamma$  be the contour in  $\Lambda(P)$  of the form  $\Gamma = \Gamma_1^{(-)} \cup \Gamma_2 \cup \Gamma_1^{(+)}$ , where

$$\begin{aligned}\Gamma_1^{(-)} &= (\infty, r_1 e^{i(2\pi-\phi)}], \\ \Gamma_2 &= \{\lambda \in \mathbb{C}; |\lambda| = r_1, \phi \leq \arg \lambda \leq 2\pi - \phi\}, \\ \Gamma_1^{(+)} &= [r_1 e^{i\phi}, \infty).\end{aligned}$$

Here we choose  $r_1$  so that  $0 < r_1 < r = \inf\{|\lambda| : 0 \neq \lambda \in \text{Sp } P\}$ .

- We orient  $\Gamma$  in clockwise direction.

# Complex Powers of an Elliptic $\Psi$ DO

- Let  $\Gamma$  be the contour in  $\Lambda(P)$  of the form  $\Gamma = \Gamma_1^{(-)} \cup \Gamma_2 \cup \Gamma_1^{(+)}$ , where

$$\begin{aligned}\Gamma_1^{(-)} &= (\infty, r_1 e^{i(2\pi - \phi)}], \\ \Gamma_2 &= \{\lambda \in \mathbb{C}; |\lambda| = r_1, \phi \leq \arg \lambda \leq 2\pi - \phi\}, \\ \Gamma_1^{(+)} &= [r_1 e^{i\phi}, \infty).\end{aligned}$$

Here we choose  $r_1$  so that  $0 < r_1 < r = \inf\{|\lambda| : 0 \neq \lambda \in \text{Sp } P\}$ .

- We orient  $\Gamma$  in clockwise direction.

## Definition (Complex Powers of an Elliptic $\Psi$ DO)

We define a family of operators  $(P^s)_{\Re s < 0}$  by

$$P^s = \frac{1}{2i\pi} \int_{\Gamma} \lambda^s (P - \lambda)^{-1} d\lambda, \quad \Re s < 0.$$

# Complex Powers of an Elliptic $\Psi$ DO

$$P^s = \frac{1}{2i\pi} \int_{\Gamma} \lambda^s (P - \lambda)^{-1} d\lambda, \quad \Re s < 0.$$

Recall that  $(P - \lambda)^{-1} \in \Psi^{-m, -1}(\mathcal{A}_{\theta}; \Lambda(P))$ .

- Using the result that  $(P - \lambda)^{-1} \in \Psi^{-m, -1}(\mathcal{A}_{\theta}; \Lambda(P))$  we can compute the symbol of  $P^s$ , and prove that  $(P^s)_{\Re s < 0}$  gives rise to a holomorphic family of  $\Psi$ DOs of order  $ms$ .
- For general  $s \in \mathbb{C}$ , we define  $P^s$  as the  $\Psi$ DO such that  $P^s = P^k P^{s-k}$ , where  $k$  is any positive integer  $> \Re s$ . Here the choice of  $k$  is irrelevant.



## Theorem (L.-Ponge)

$(P^s)_{s \in \mathbb{C}} \in \text{Hol}(\mathbb{C}, \Psi^*(\mathcal{A}_\theta))$  and  $\text{ord} P^s = ms$ . Moreover, we have

- $P^{s_1+s_2} = P^{s_1} P^{s_2} \quad \forall s_j \in \mathbb{C}, j = 1, 2.$
- $P^{-k} =$  the  $k$ th power of the partial inverse of  $P \quad \forall k \in \mathbb{N}.$
- $P^\ell$  (in the sense of complex powers)  $= (1 - \Pi_0(P)) P^\ell \quad \forall \ell \in \mathbb{N}.$
- $P^0$  (in the sense of complex powers)  $= 1 - \Pi_0(P).$

Thank you for your attention!